

MIDAS SQUARE 공학 기술강연

Performance-Based Earthquake Design of Building Structure in Korea

건축물의 성능기반 내진설계

박홍근 | 서울대학교

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Coupling Beam)

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Lecturer:

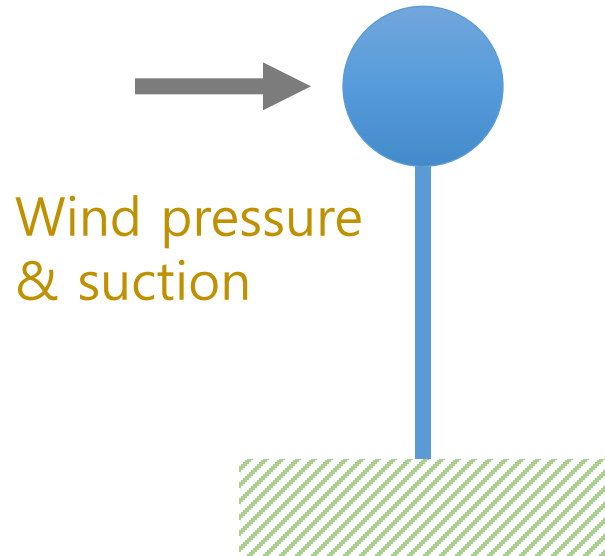
Hong-Gun Park

- Professor, Department of Archi. & Architectural Engineering, SNU
- Former Partner in Jeon & Associates
- Ex-President of Korea Concrete Institute (KCI)
- President of Korea Protective Facility Institute (KPFI)
- ACI Fellow (FACI)
- Structural Professional Engineer in Korea
- National Engineering Academic Society in Korea (NEAK) and The Korean Academy of Science and Technology (KAST)

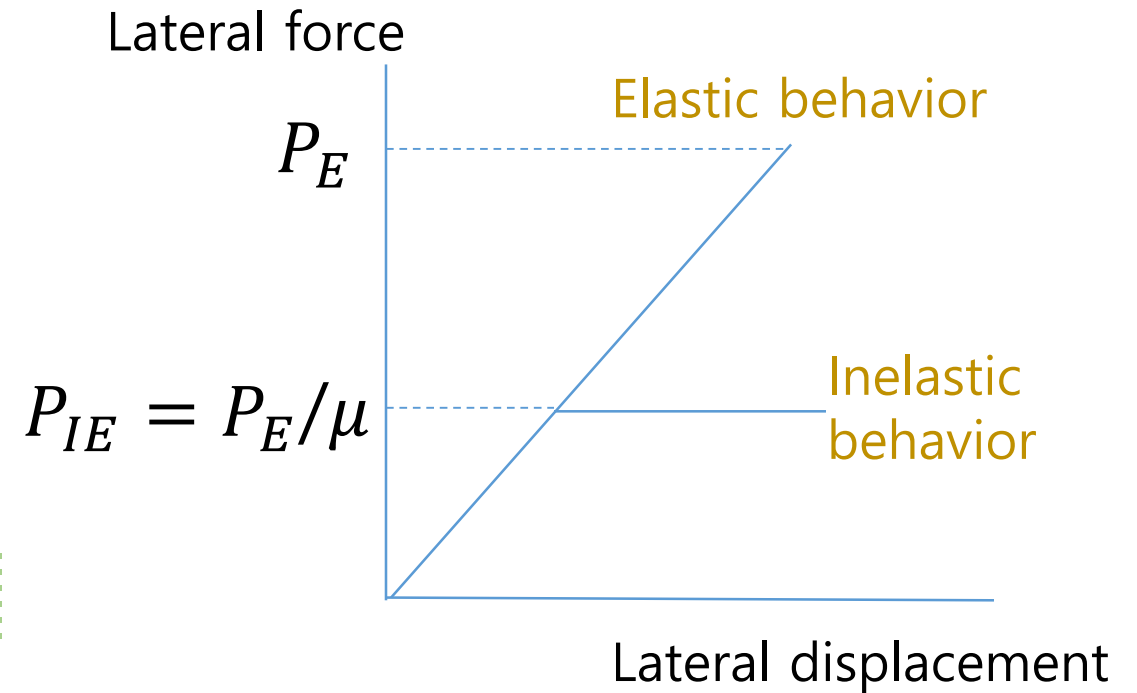
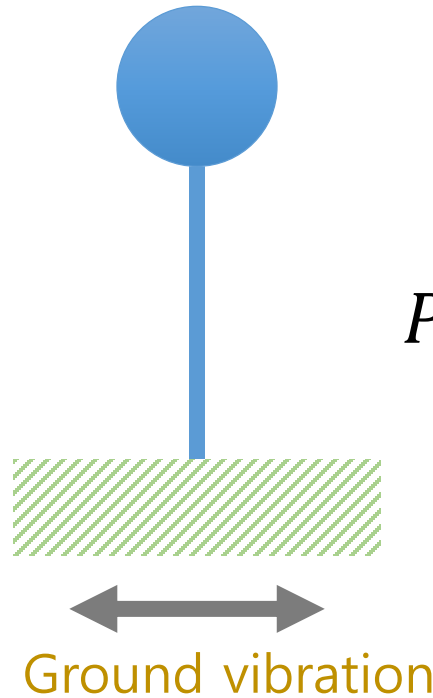
Background

- Earthquake design of buildings (ductility design)

- Wind design



- EQ design



Background

- Earthquake design of buildings (ductility design)

| Seismic force-resisting system ¹⁾ | Design factors | | | Limitations of system and height (m) | | |
|---|----------------------------------|--|---|--------------------------------------|---------------------------|---------------------------|
| | Response modification factor R | System over strength factor Ω_0 | Displacement amplification factor C_d | Seismic Design Category A or B | Seismic Design Category C | Seismic Design Category D |
| 1. Bearing wall systems | | | | | | |
| 1-a. Special reinforced concrete shear walls | 5 | 2.5 | 5 | - | - | - |
| 1-b. Ordinary reinforced concrete shear walls | 4 | 2.5 | 4 | - | - | 60 |
| 1-c. Reinforced masonry shear walls | 2.5 | 2.5 | 1.5 | - | 60 | NP |
| 1-d. Unreinforced masonry shear walls | 1.5 | 2.5 | 1.5 | - | NP | NP |
| 1 - e. Light-frame (wood) walls sheathed with wood structural panels | 6 | 3 | 4 | - | 20 | 20 |
| 1 - f. Light-frame (cold-formed steel) walls sheathed with wood structural panels or steel sheets | 6 | 3 | 4 | - | 20 | 20 |

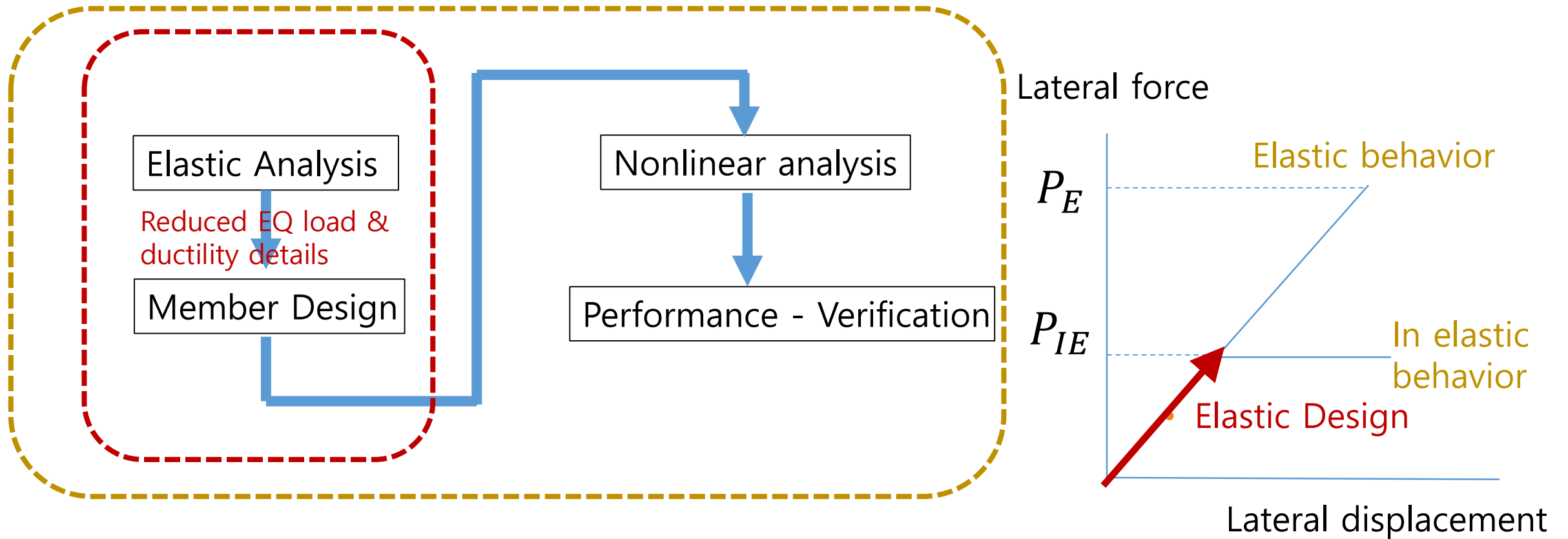
- Uniform value of R factors according to structure type regardless of design parameters (building height, strength, etc)
- Verification of performance is required.

Background

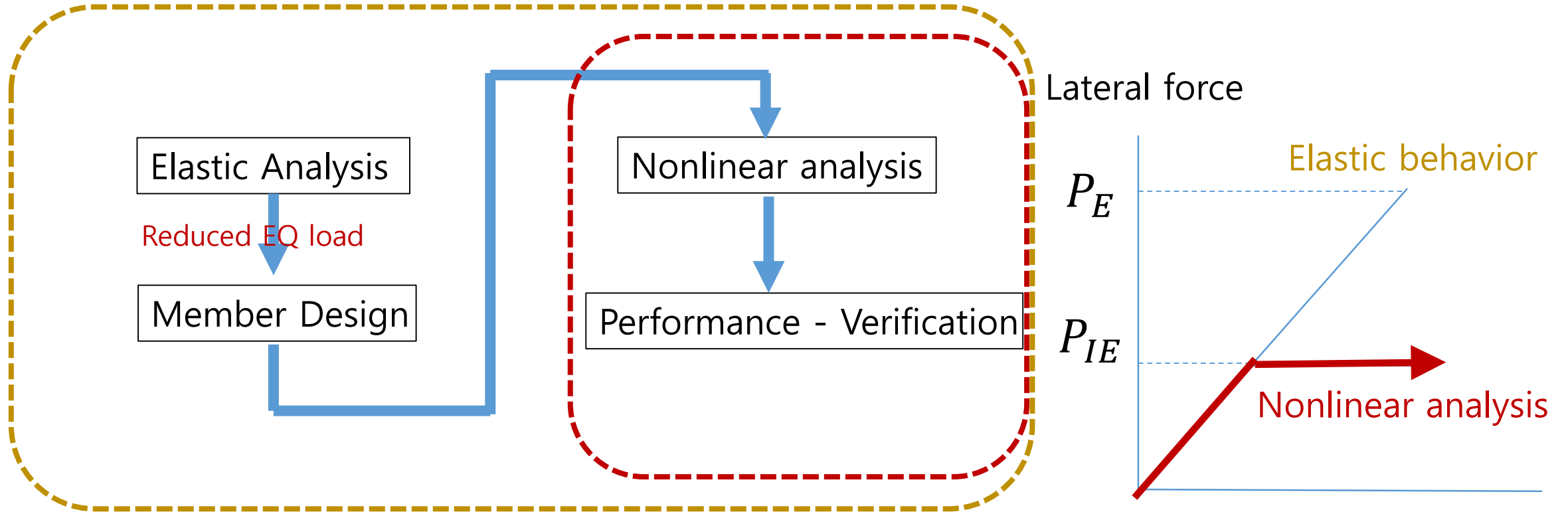
- Earthquake design of buildings (ductility design)
 - Uniform value of R factors according to structure type
 - Regardless of design parameters (building height, strength, etc)

| 2. Building frame systems | | | | | | |
|---|------|-----|------|---|---|----|
| 2-a. Steel eccentrically braced frames (moment resisting column-link connections) | 8 | 2 | 4 | - | - | - |
| 2-b. Steel eccentrically braced frames (non-moment resisting column-link connections) | 7 | 2 | 4 | - | - | - |
| 2-c. Steel special concentrically braced frame | 6 | 2 | 5 | - | - | - |
| 2-d. Steel ordinary concentrically braced frame | 3.25 | 2 | 3.25 | - | - | - |
| 2-e. Composite eccentrically braced frames. | 8 | 2 | 4 | - | - | - |
| 2-f. Composite special concentrically braced frames | 5 | 2 | 4.5 | - | - | - |
| 2-g. Composite ordinary concentrically braced frames | 3 | 2 | 3 | - | - | - |
| 2-h. Steel composite plate shear walls | 6.5 | 2.5 | 5.5 | - | - | - |
| 2-i. Composite special shear walls | 6 | 2.5 | 5 | - | - | - |
| 2-j. Composite ordinary shear walls | 5 | 2.5 | 4.5 | - | - | 60 |
| 2-k. Steel special plate shear walls | 7 | 2 | 6 | - | - | - |
| 2-l. Buckling-restrained braced frames (moment-resisting beam-column connections) | 8 | 2.5 | 5 | - | - | - |
| 2-m. Buckling-restrained braced frames (non-moment-resisting beam-column connections) | 7 | 2 | 5.5 | - | - | - |
| 2-n. Special reinforced concrete shear walls | 6 | 2.5 | 5 | - | - | - |
| 2-o. Ordinary reinforced concrete shear walls | 5 | 2.5 | 4.5 | - | - | 60 |

Background • Equivalent Elastic Design vs Performance-based design



Background • Equivalent Elastic Design vs Performance-based design



- Performance-based design is important for EQ design in which verification of inelastic deformation capacity is necessary

Background

- Performance-based seismic design of building structures
 - 1st introduced in 2016 in Building Structure Design Code (current KDS 41 17)
 - For structures that are difficult to apply conventional elastic design based on Response modification factors (R-factor or ductility factor)
 - For important structures that require multiple performance goals
- Guidelines for Performance-Based Seismic Design of Reinforced Concrete Buildings (2021)
 - » detailed procedure of PBSB
- Guidelines for Nonlinear Analysis Modeling for PBSB of Reinforced Concrete Buildings (2021)
 - » detailed modeling technique for nonlinear analysis



Background

- Contents of PBSD Guidelines
 1. General
 2. Design Procedure
 3. Basic Design
 4. Nonlinear analysis model
 5. Fiber model
 6. Plastic hinge model
 7. Nonlinear Static Analysis
 8. Nonlinear Dynamic Analysis
 9. Verification of PerformancesAppendix : modeling examples

Procedure of PBSD Guidelines

- Basic Design

 - elastic analysis-based conventional design

 - linear dynamic analysis (response spectrum analysis)

 - response modification factor (R) corresponding to the seismic load resisting system

 - Design strength according to current design codes

- Nonlinear static and dynamic analysis

 - behavior model (**deformation-control, force-control**)

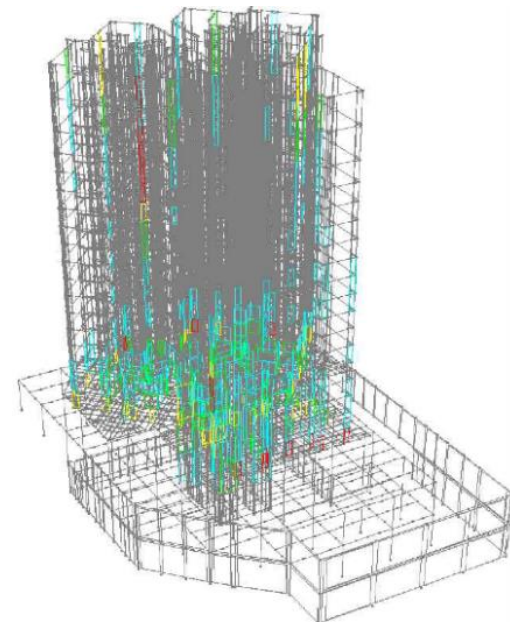
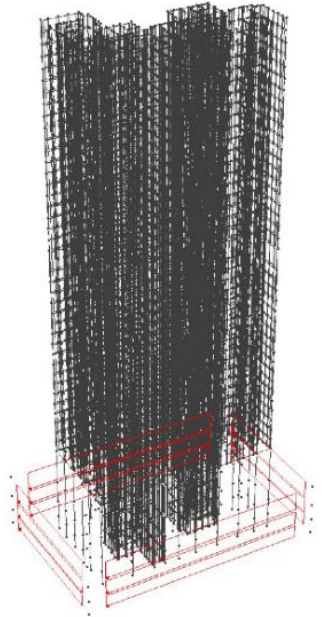
 - material stress-strain relationship and member force-deformation relationship curve

 - Nominal strength based on actual structural mechanism

- Verification of performance

 - interstory drift ratio for overall structure behavior

 - deformation and material strain **for deformation-controlled behavior**, strength of **force-controlled behavior**



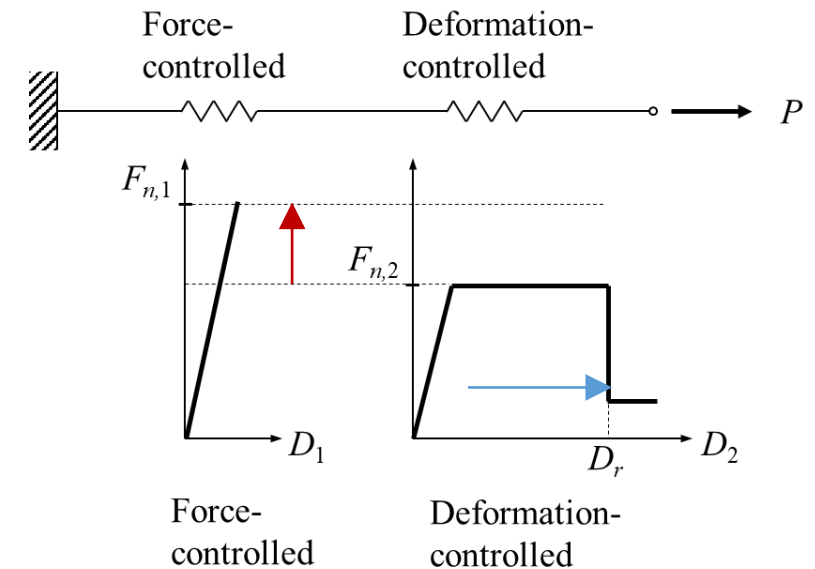
Nonlinear Analysis Modeling

- Behavior Model

Deformation-controlled behavior : Nonlinear model to estimate deformation demand

Force-controlled behavior : Linear model to prevent brittle failure

| Member type | Deformation-controlled behavior | force-controlled behavior |
|---------------|---------------------------------|---------------------------|
| beam | flexure | shear |
| column | flexure | compression, shear |
| wall | flexure | compression, shear |
| coupling beam | flexure | shear |



- Requirement of behavior models to guarantee ductile behavior

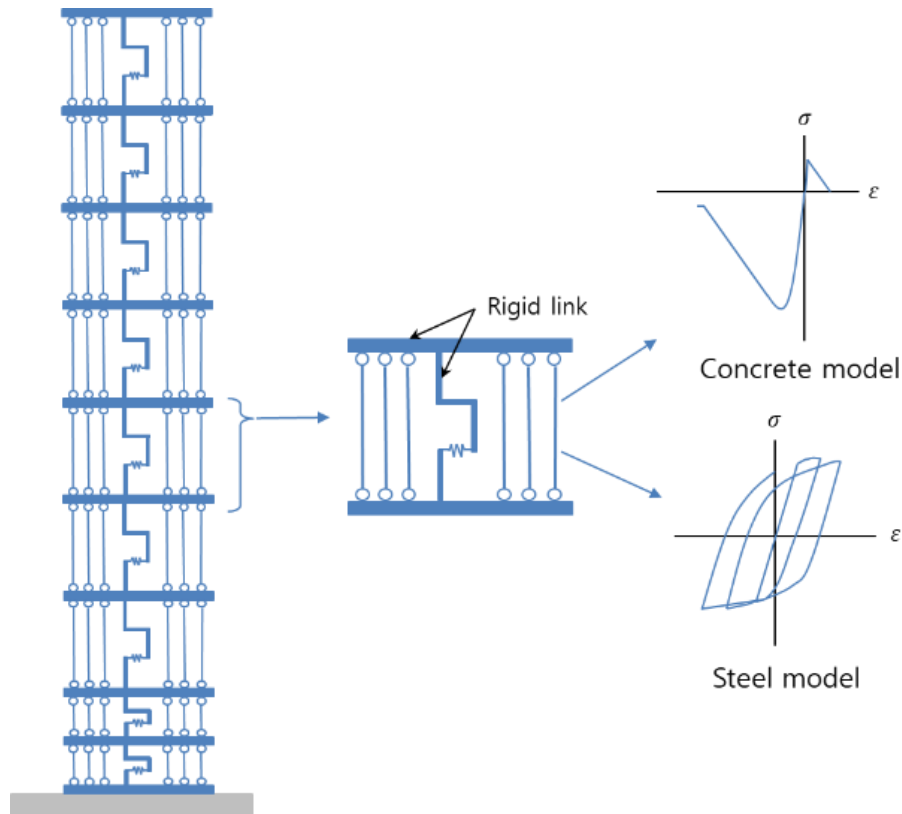
Deformation-controlled behavior : high deformation (ductility) →

Force-controlled behavior : high strength with a safety factor ↑

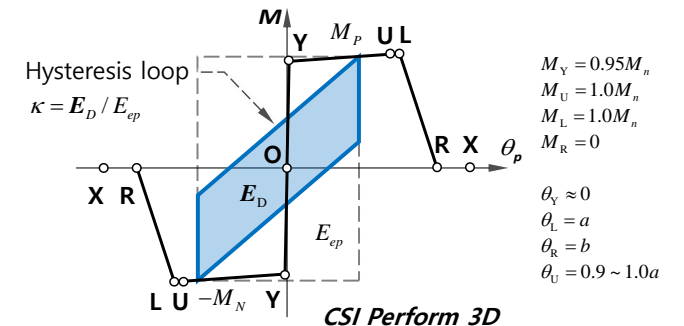
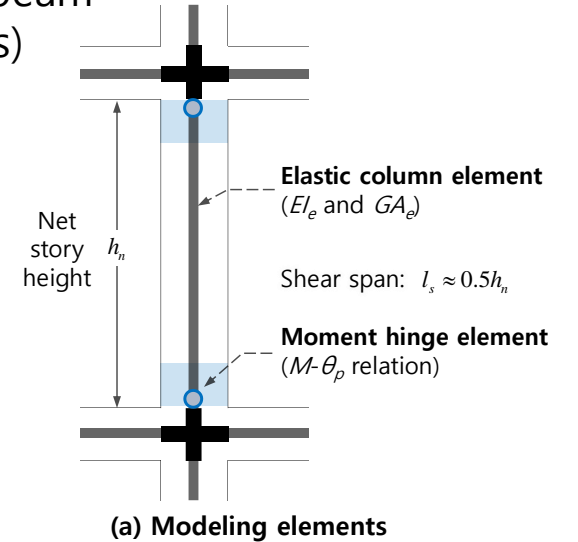
Nonlinear Analysis Modeling

- Model Type : Macro models for efficiency of analysis

Fiber model (inelastic distributed model) :
wall and column



Plastic hinge model :
column and beam
(line elements)



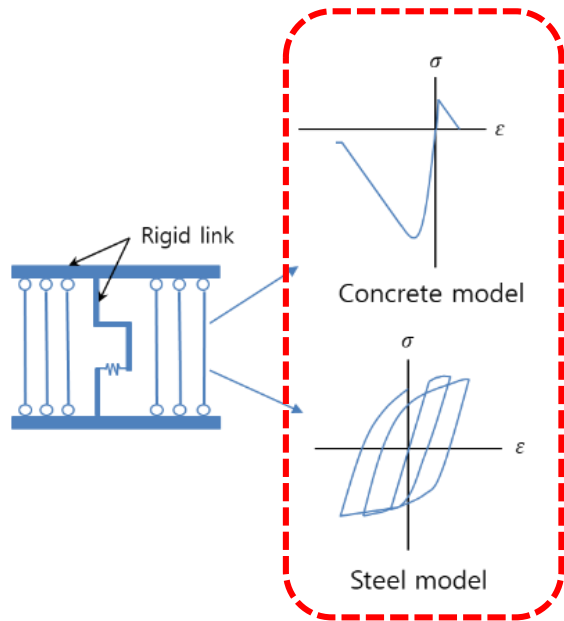
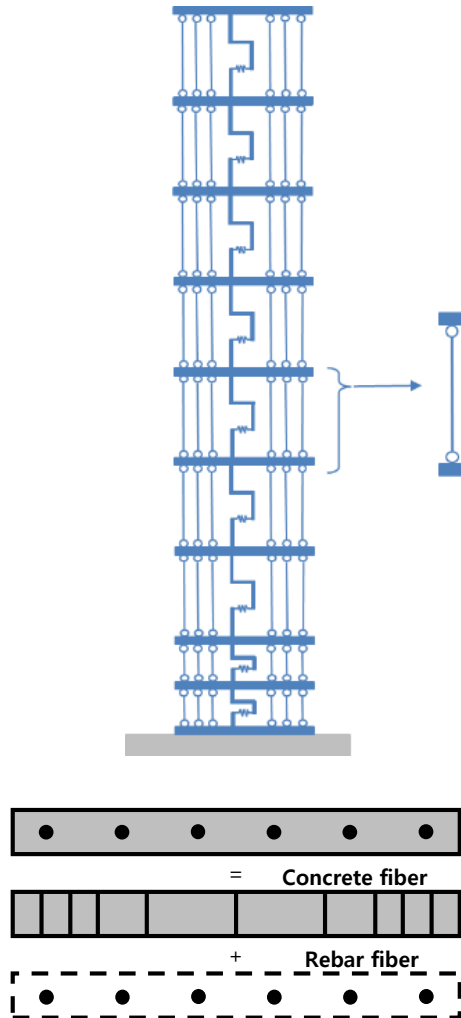
$$\begin{aligned}
 M_Y &= 0.95M_n \\
 M_U &= 1.0M_n \\
 M_L &= 1.0M_n \\
 M_R &= 0 \\
 \theta_Y &\approx 0 \\
 \theta_L &= a \\
 \theta_R &= b \\
 \theta_U &= 0.9 \sim 1.0a
 \end{aligned}$$

(b) Hysteresis model for moment hinge element

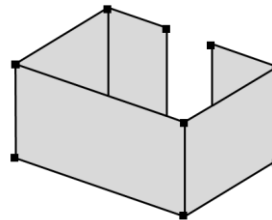
Fiber Model

Wall (plate) : single curvature

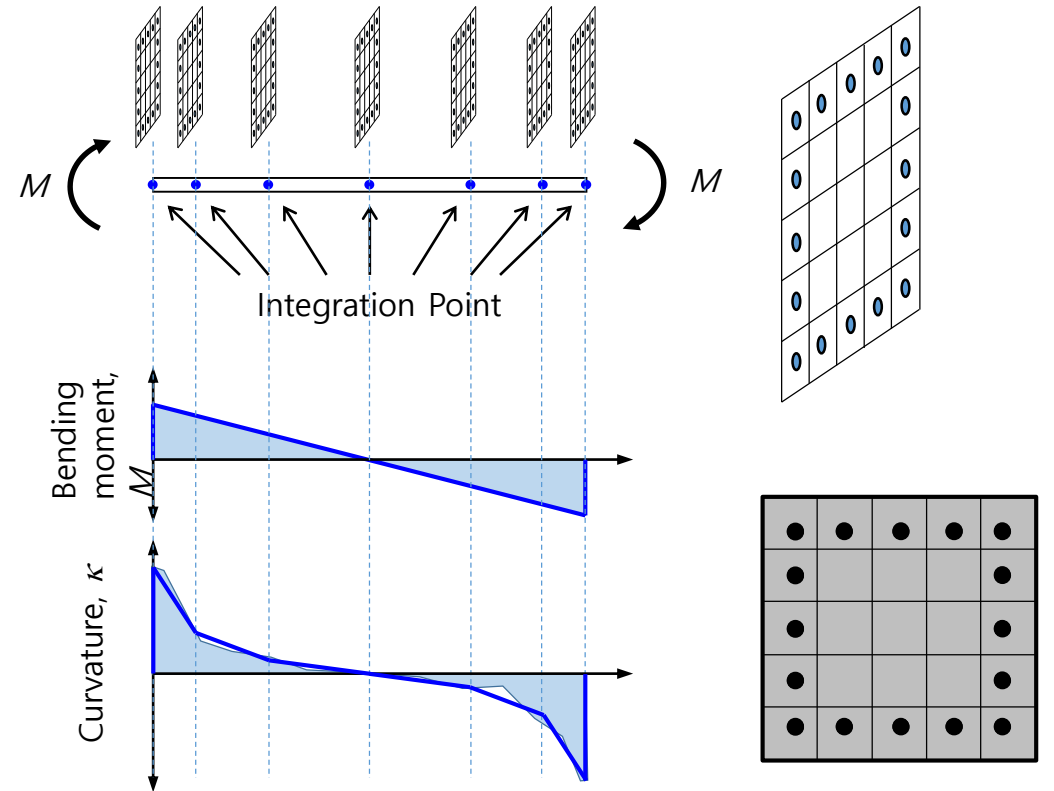
Column : double curvature



(a) Plan

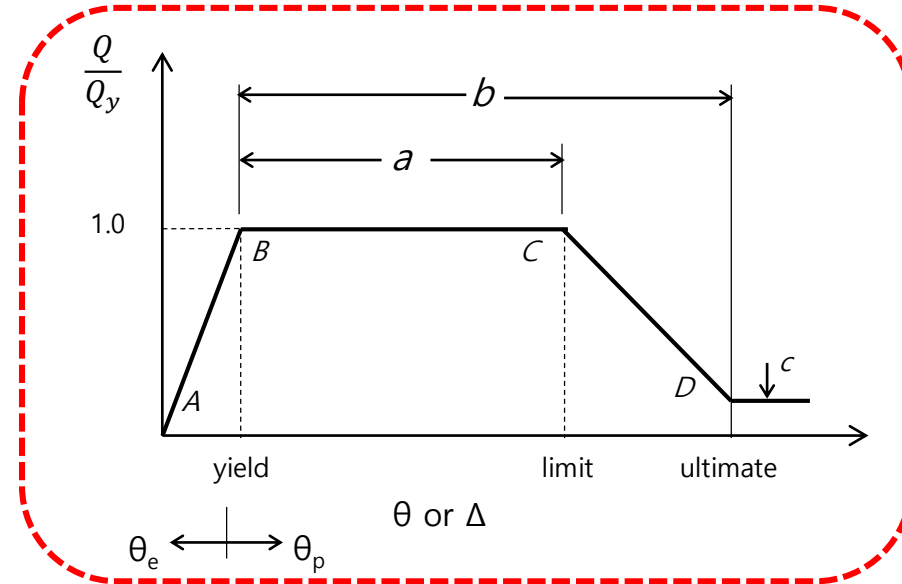
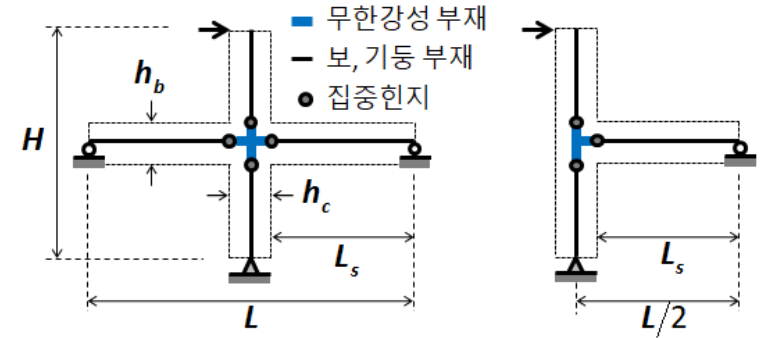
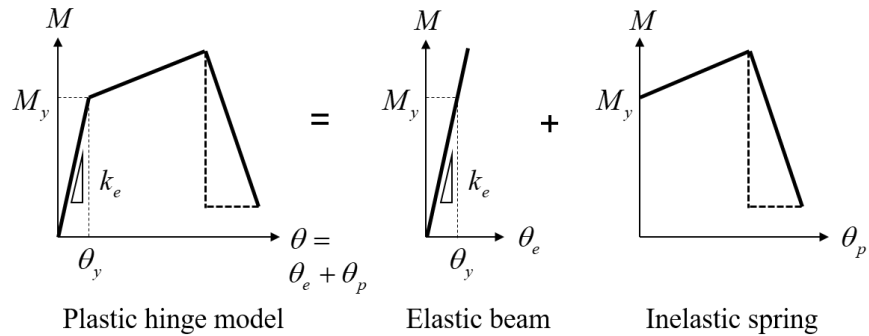
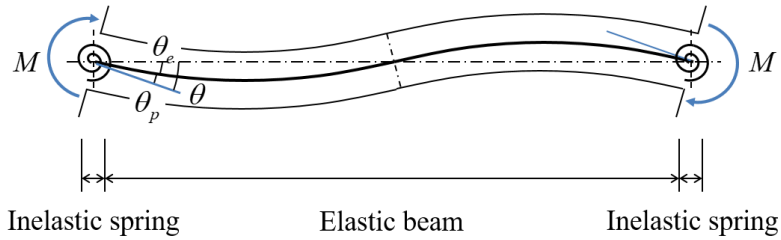


(b) Elements



Plastic Hinge Model

- Plastic Hinge Model : beams, columns, beam-columns, walls



Plastic Hinge Model

- Effective Stiffness for elastic region
Considering the effect of concrete cracking.

| | Flexural stiffness | Shear stiffness | Axial stiffness |
|------------------------------|---|--|-----------------|
| Beams ¹⁾ | $0.3E_cI_g$ | GA_W | - |
| columns ²⁾ | $0.3E_cI_g \leq (\eta + 0.2)\left(\frac{l_s}{4h}\right)E_cI_g \leq 0.7E_cI_g$ | GA_W | E_cA_g |
| Walls without cracking | $0.70E_cI_g$ | GA_W | E_cA_g |
| Walls with cracking | $0.35E_cI_g$ | $0.5GA_W$ | E_cA_g |
| Coupling beams ³⁾ | $0.3E_cI_g$ | $0.04\left(\frac{l}{h}\right)GA_W \leq GA_W$ | - |
| diaphragm ⁴⁾ | - | $0.25GA_W$ | $0.25E_cA_g$ |

1) Non-prestressed beams

2) η = axial compression ratio, l_s = shear span = 1/2 column height, h = depth of cross section

3): l = beam length h = beam depth

| | Flexural stiffness | Shear stiffness | Axial stiffness |
|------------------------------|---|--|-----------------|
| Beams ¹⁾ | $0.3E_cI_g$ | GA_W | - |
| columns ²⁾ | $0.3E_cI_g \leq (\eta + 0.2)\left(\frac{l_s}{4h}\right)E_cI_g \leq 0.7E_cI_g$ | GA_W | E_cA_g |
| Walls without cracking | $0.70E_cI_g$ | GA_W | E_cA_g |
| Walls with cracking | $0.35E_cI_g$ | $0.5GA_W$ | E_cA_g |
| Coupling beams ³⁾ | $0.3E_cI_g$ | $0.04\left(\frac{l}{h}\right)GA_W \leq GA_W$ | - |
| diaphragm ⁴⁾ | - | $0.25GA_W$ | $0.25E_cA_g$ |

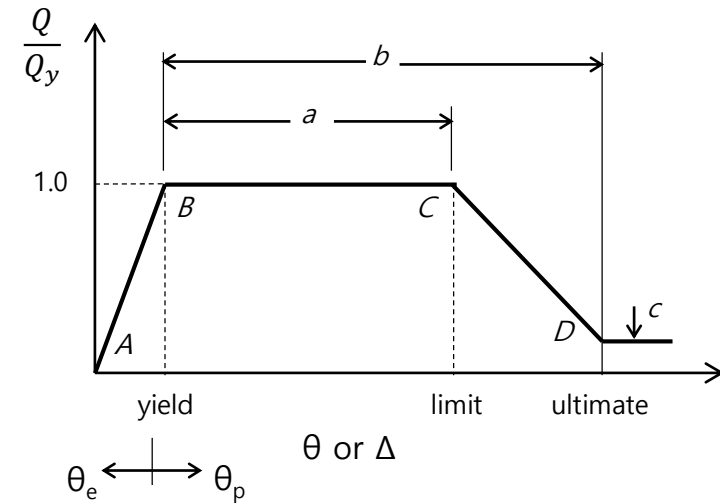
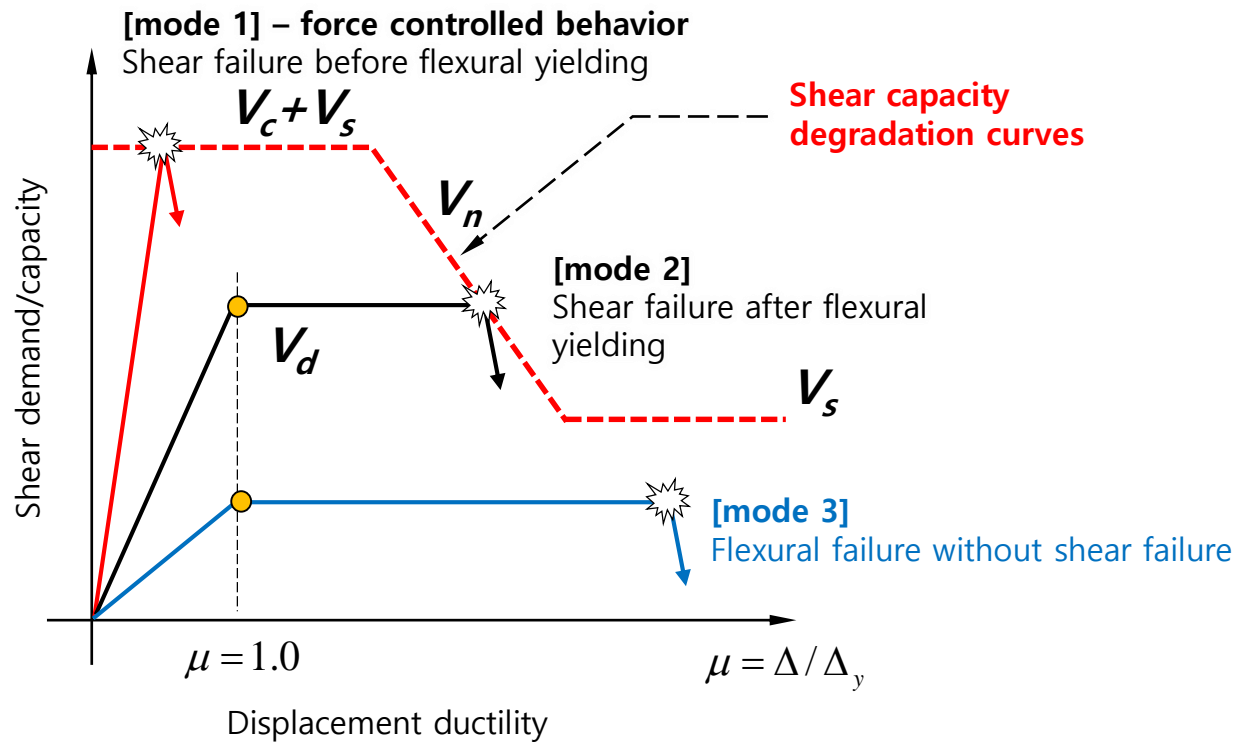
1) Non-prestressed beams

2) η = axial compression ratio, l_s = shear span = 1/2 column height, h = depth of cross section

3): l = beam length h = beam depth

Plastic Hinge Model : Beam

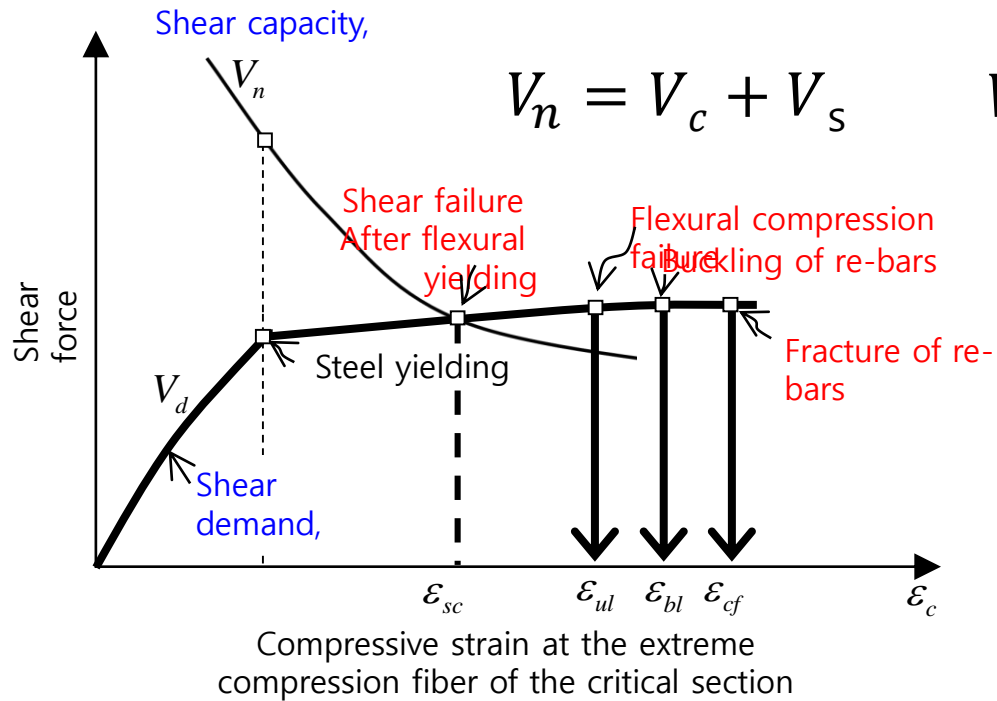
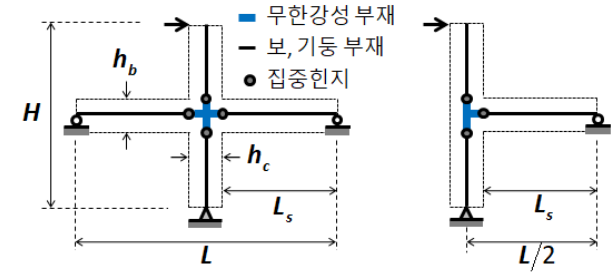
- Definition of Deformation Capacity :
 intersection between shear demand and shear capacity $V_n = V_d$



Plastic Hinge Model : Beam

- Definition of Deformation Capacity :

intersection between shear demand and shear capacity $V_n = V_d$

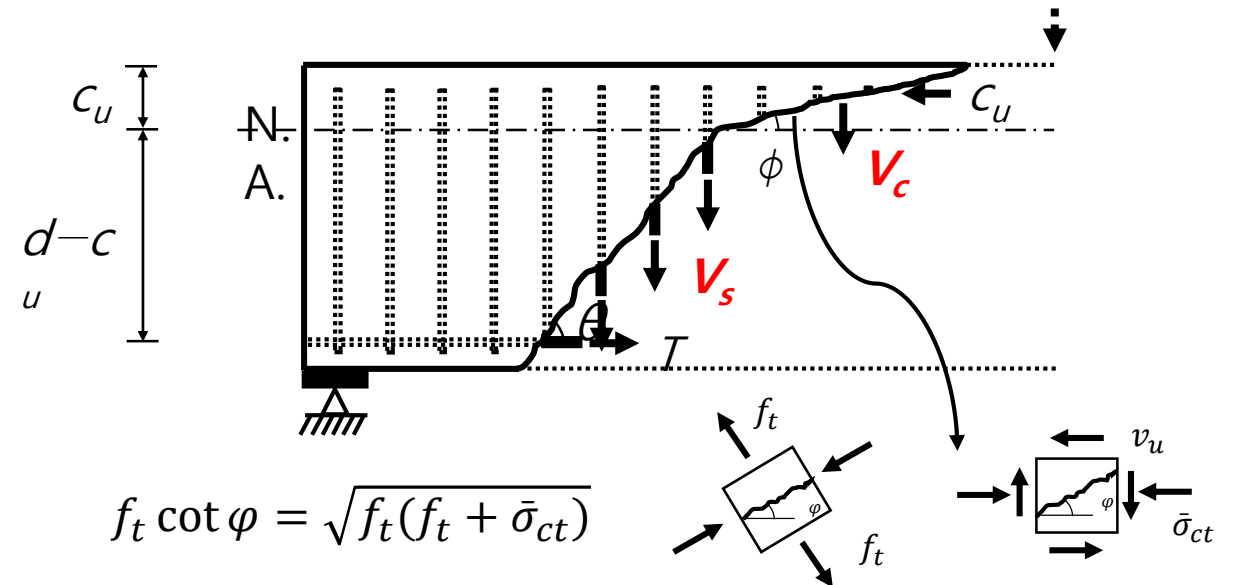


$$V_n = V_c + V_s$$

$$V_c = k_s f_t b_w c_u \cot \phi \left(\frac{\epsilon_o}{\epsilon_{sc}} \right)$$

$$V_s = \frac{A_v f_{yt} d}{s}$$

Compression zone failure mechanism under shear

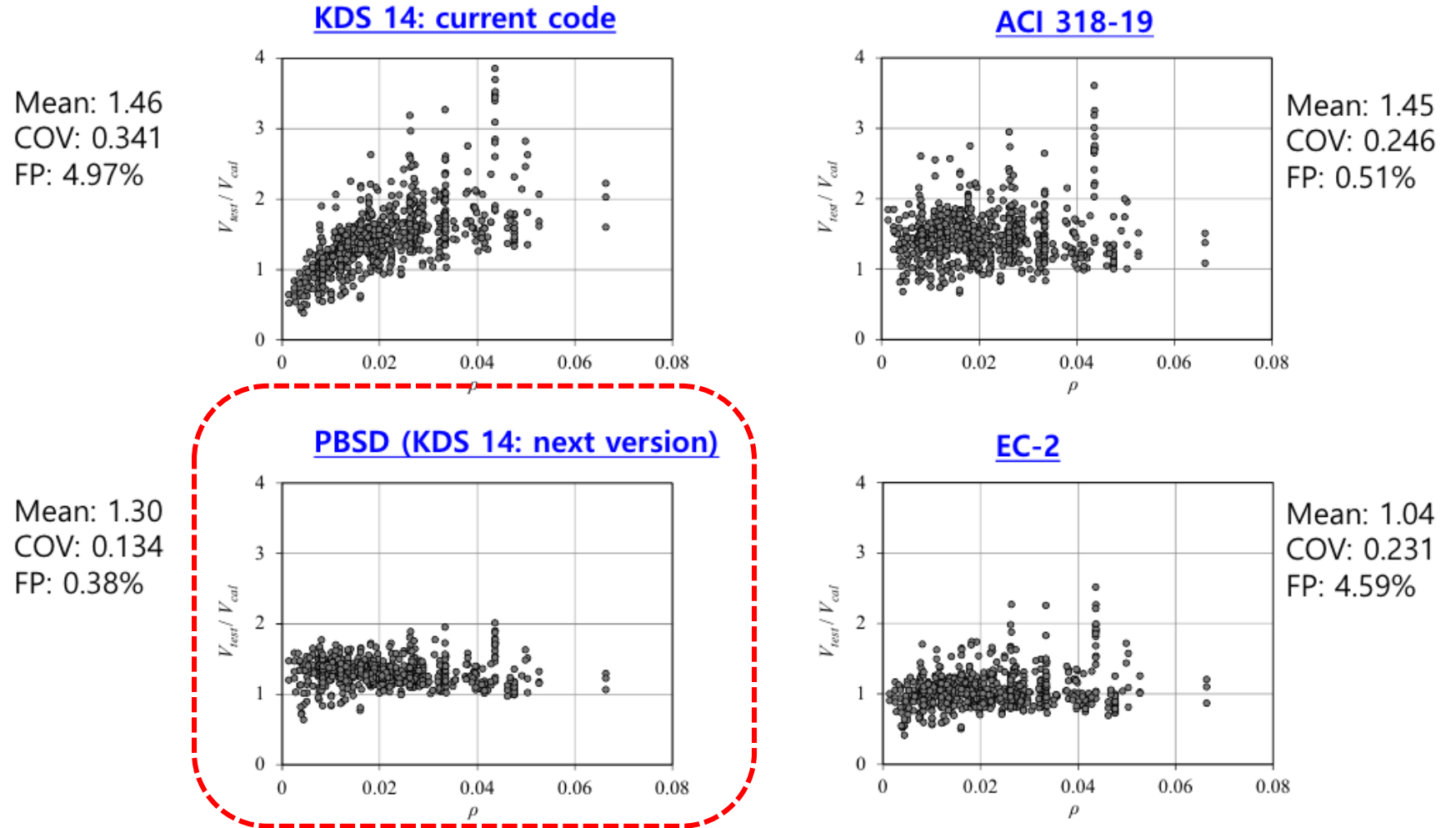


$$f_t \cot \phi = \sqrt{f_t (f_t + \bar{\sigma}_{ct})}$$

KDS, ACI 318-13 $V_c = 1/6 \sqrt{f_c} b_w d$

Plastic Hinge Model : Beam

- Verification of shear strength model before flexural yielding:

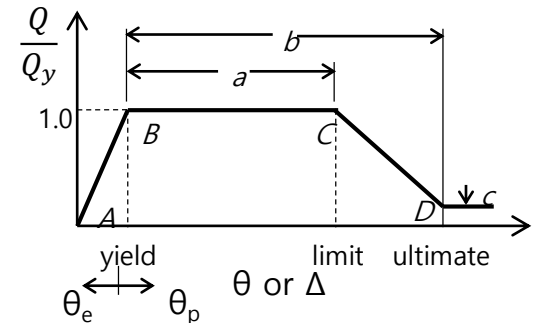


FP indicates failure probability; each test data is assumed to the failure case when its strength ratio is less the 0.75.

Plastic Hinge Model : Beam

| | Modeling parameter | | Residual strength | Allowable limit | | |
|--|--|--|-------------------|-------------------|-------------|---------------------|
| | Plastic rotation (rad.) | | | occupancy | Life safety | Collapse prevention |
| | a | b | c | | | |
| 1. Shear failure after flexural yielding (non seismic details) | | | | | | |
| Determined by ϵ_{cf} | $\left[\frac{\min(\epsilon_{ul,NC}, \epsilon_{cf}, \epsilon_{bl}, \epsilon_{sc})}{c_u} - \varphi_y \right] l_h$ | $a \leq 0.03$ | 0 | $a/3 \geq 0.0017$ | a | b |
| otherwise | | $\left(\frac{\epsilon_{cf}}{c_u} - \varphi_y \right) l_h \leq (2a, 0.03)$ | | | | |
| 2. Shear failure after flexural yielding (seismic details) | | | | | | |
| Determined by ϵ_{cf} | $\left[\frac{\min(\epsilon_{ul}, \epsilon_{cf}, \epsilon_{bl}, \epsilon_{sc})}{c_u} - \varphi_y \right] l_h$ | a | 0 | $a/3 \geq 0.0035$ | a | b |
| otherwise | | $\left(\frac{\epsilon_{cf}}{c_u} - \varphi_y \right) l_h \leq 2a$ | | | | |

- $\epsilon_{ul,NC}$, = flexural compression failure
- ϵ_{cf} , = rebar fracture
- ϵ_{bl} , = rebar buckling
- ϵ_{sc} = shear strength degradation



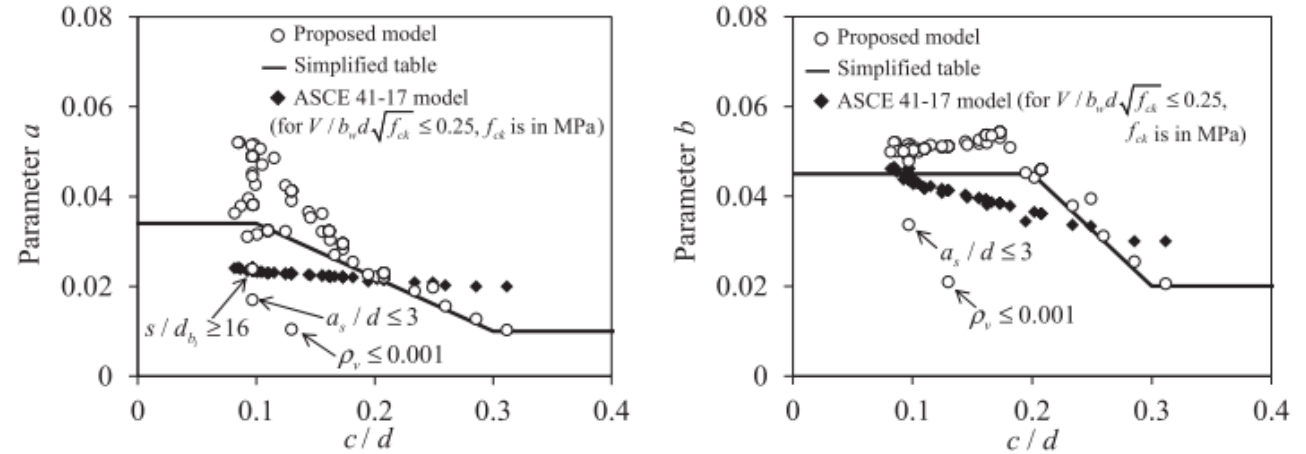
Plastic Hinge Model : Beam

Simplified modeling parameters available for $\rho_v \geq 0.0015$, $s/db \leq 16$, and $L/d \geq 6$

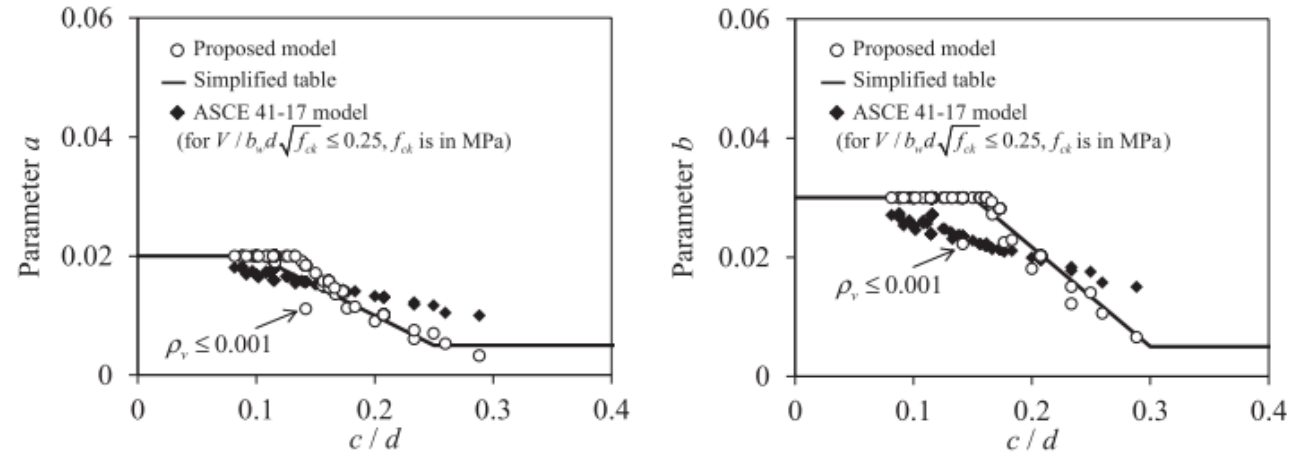
| | Modeling parameter | | | Allowable limit | | |
|--|-------------------------|-------|-------------------|-----------------|-------------|---------------------|
| | Plastic rotation (rad.) | | Residual strength | occupancy | Life safety | Collapse prevention |
| | a | b | | | | |
| 1. Shear failure after flexural yielding (non seismic details) | | | | | | |
| c_u/d | | | | 0.007 | 0.02 | 0.03 |
| ≤ 0.1 | 0.02 | 0.03 | 0 | 0.005 | 0.015 | 0.03 |
| 0.15 | 0.015 | 0.03 | | 0.0017 | 0.005 | 0.013 |
| 0.25 | 0.005 | 0.013 | | 0.0017 | 0.005 | 0.005 |
| ≥ 0.3 | 0.005 | 0.005 | | | | |
| 2. Shear failure after flexural yielding (seismic details) | | | | | | |
| c_u/d | | | | | | |
| ≤ 0.1 | 0.034 | 0.045 | 0 | 0.012 | 0.034 | 0.045 |
| 0.2 | 0.022 | 0.045 | | 0.008 | 0.022 | 0.045 |
| ≥ 0.3 | 0.01 | 0.02 | | 0.0035 | 0.01 | 0.02 |

Plastic Hinge Model : Beam

Simplified modeling parameters available for $\rho_v \geq 0.0015$, $s/db \leq 16$, and $L/d \geq 6$



(a) RC beams with seismic details

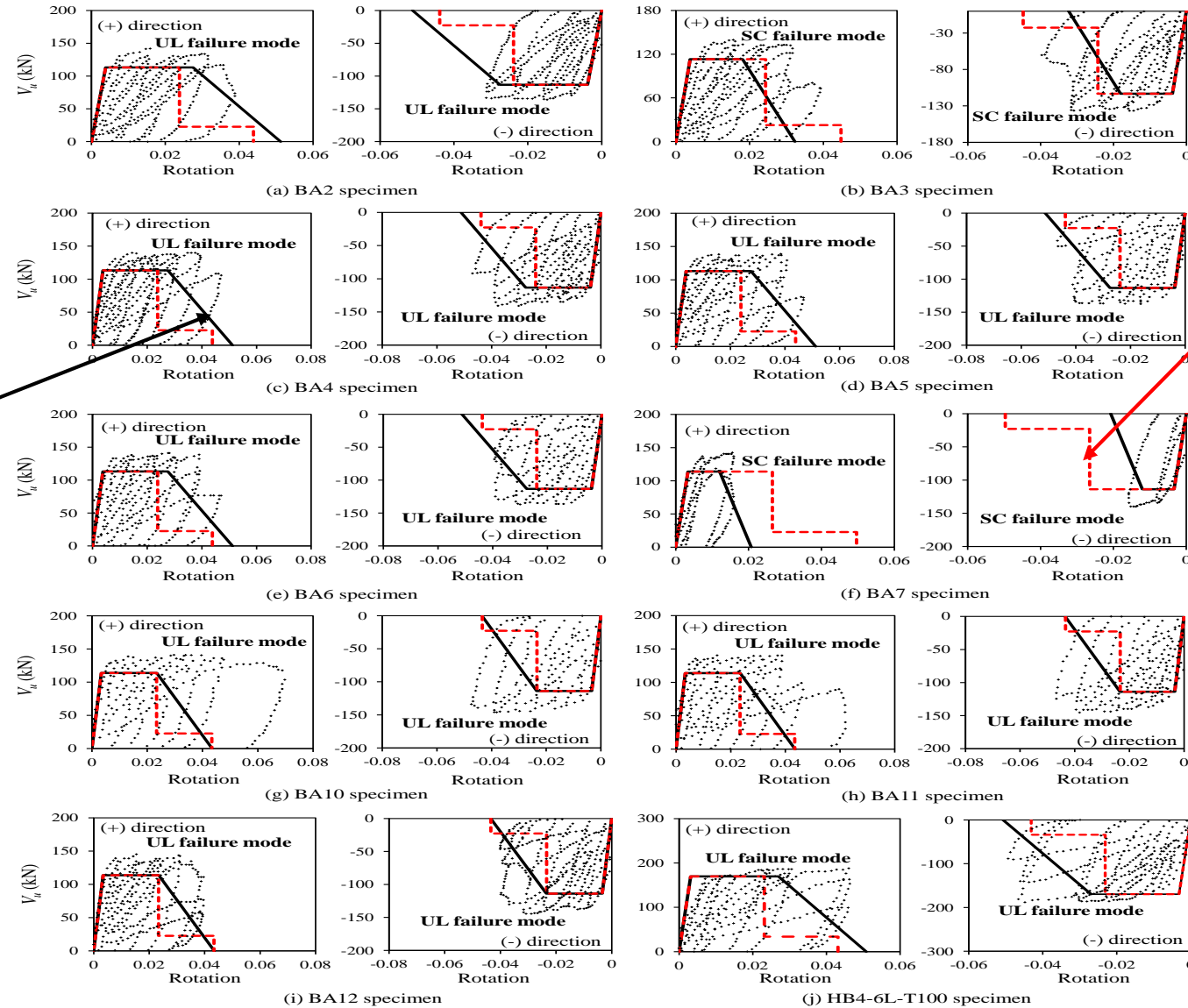


(b) RC beams without seismic details

Plastic Hinge Model : Beam

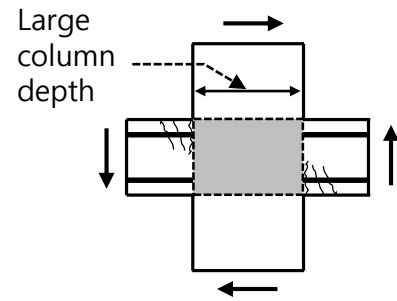
- verification

PBSD

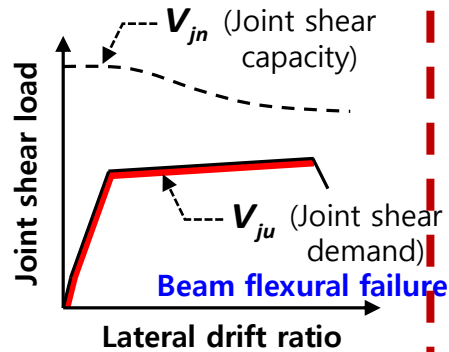


ASCE 41-17

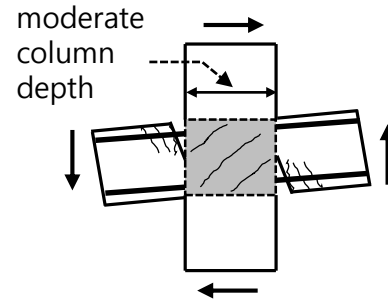
Plastic Hinge Model : Beam-Column Joint



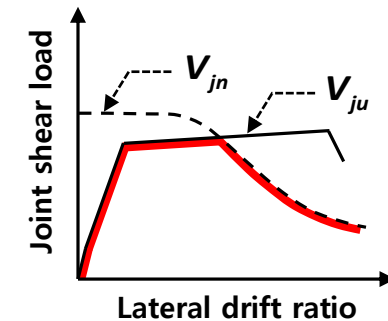
- Large bar-bond resistance
- **Large** joint shear capacity



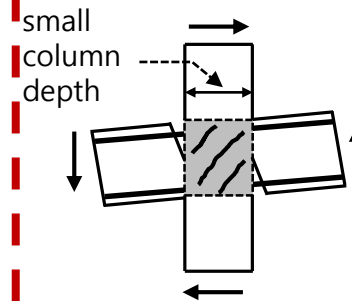
(a) Large column depth



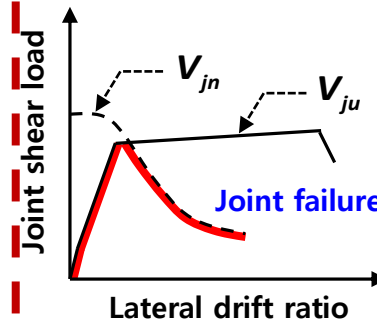
- moderate bar-bond resistance
- **moderate** joint shear capacity



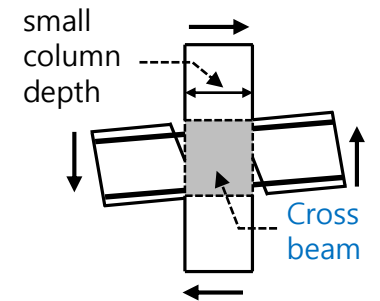
(b) Intermediate column depth



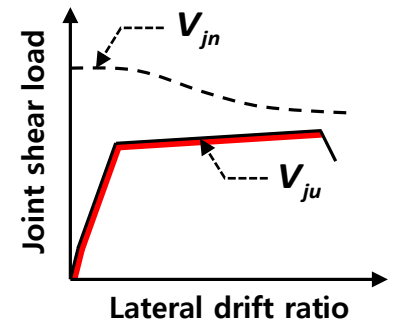
- Small bar-bond resistance
- **Small** joint shear capacity



(c) Small column depth



- Small bar-bond resistance
- **Large** joint shear capacity



(d) Small column depth with cross-beam

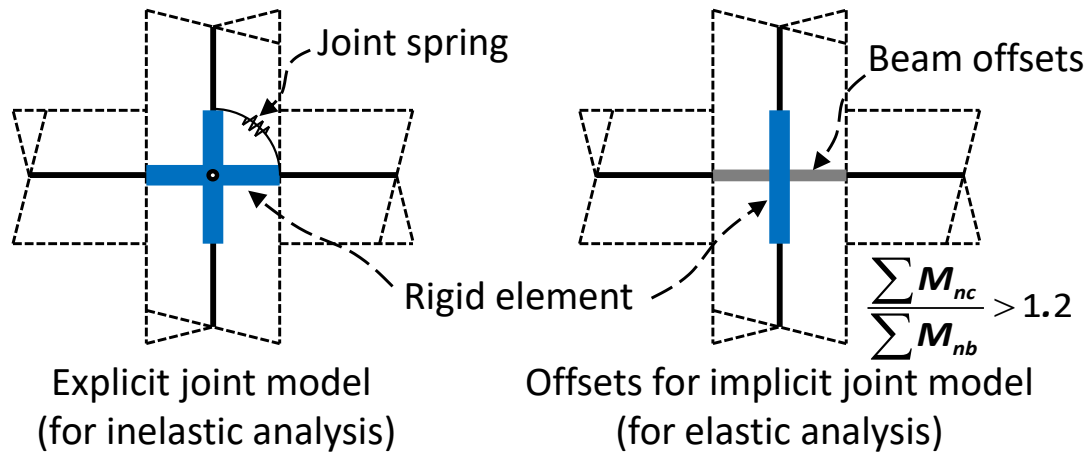
Focusing on joints at building perimeters with both joint shear damage and beam damage
 In most cases, plastic beam deformation is accompanied by joint shear damage.

Plastic Hinge

Model :

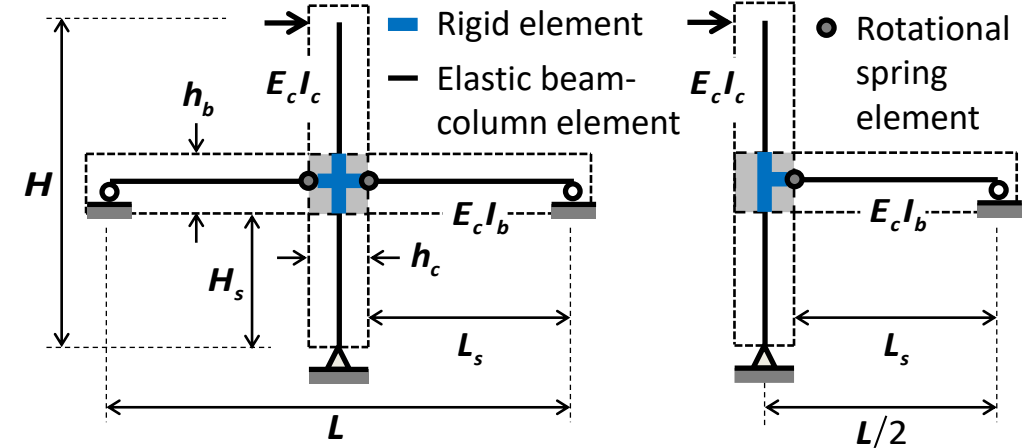
Beam-Column Joint

In most cases, plastic beam deformation is accompanied by joint shear damage.



ASCE 41 model (Separated model)

Independent model of joint shear deformation separated from beam plastic deformation



PBSD model (Unified model)

Combined behavior of joint shear deformation and beam plastic deformation

Plastic Hinge Model :

Beam-Column Joint

- Shear strength model of ACI 318

$$V_{jn} (= 0.083\gamma\sqrt{f_{ck}} b_j h_c)$$

No specific contribution of shear reinforcement
No strength degradation

- Proposed model

$$V_{jn} = V_C + V_T = \alpha_c \alpha_s f_{ck} b_s h_c + \min(A_h f_{yh}, 0.65 A_s f_y)$$

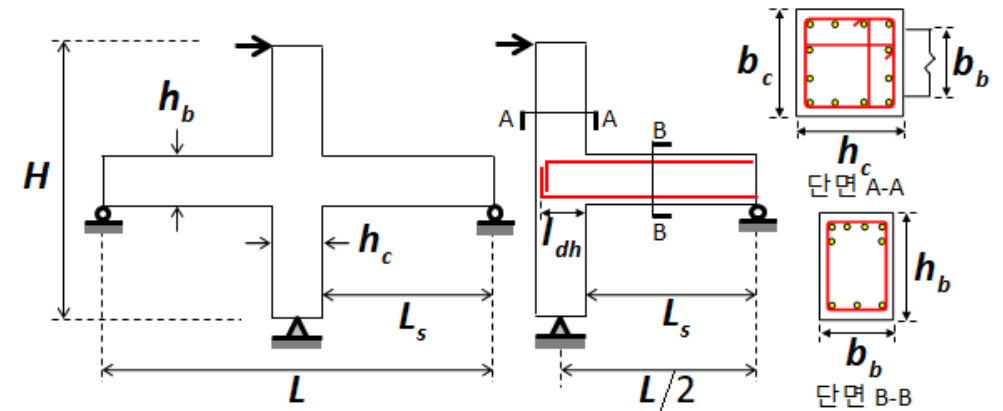
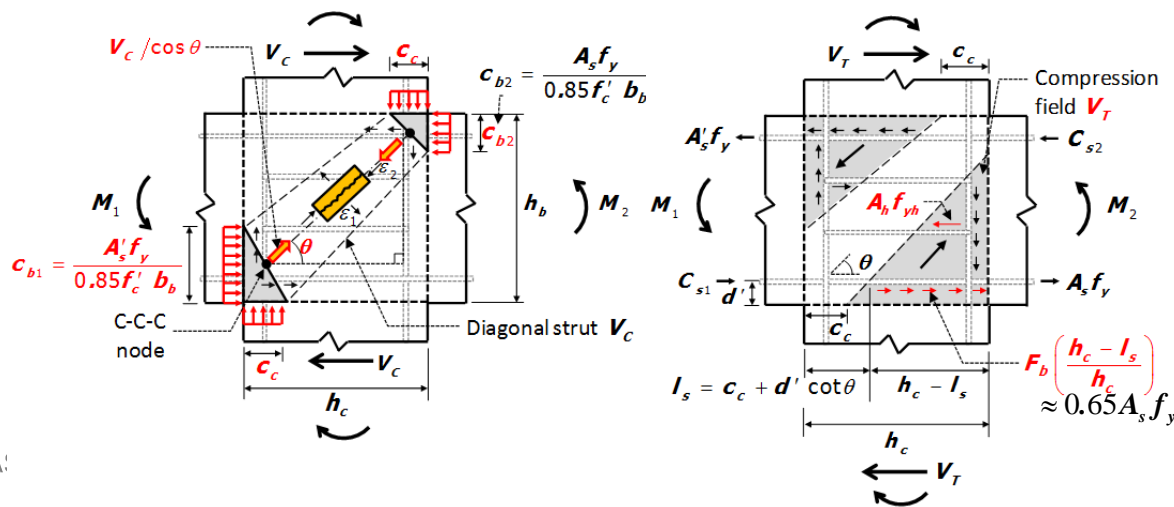
α_c = lateral confinement factor (1.0, 2.0, 2.5)

α_s = deformation degradation factor

$$b_s = \text{effective width} = \min\left[\frac{b_b + b_c}{2}, b_b + \frac{h_c}{2}\right]$$

Diagonal strut mechanism

Truss mechanism



Plastic Hinge

Model :

Beam-Column Joint

- Joint shear capacity degradation

$$V_{jn} = V_C + V_T = \alpha_c \alpha_s f_{ck} b_s h_c + \min(A_h f_{yh}, 0.65 A_s f_y)$$

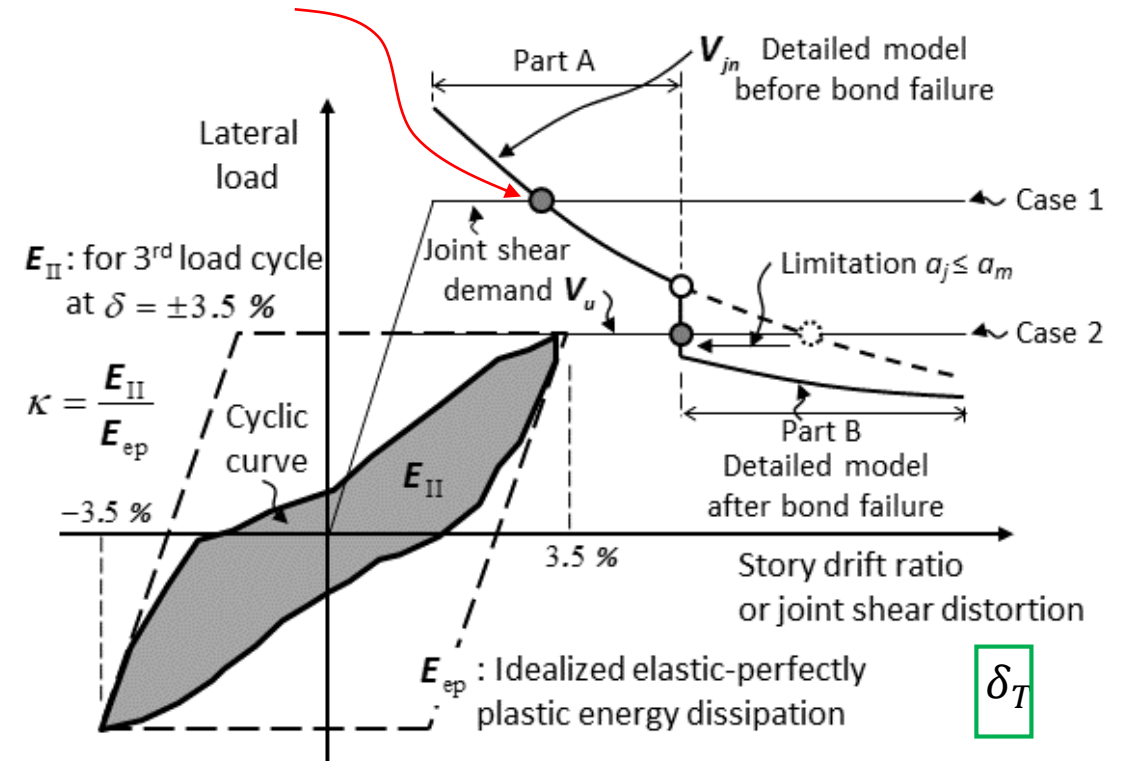
Strength degradation factor for interior joint

$$\alpha_s = \frac{0.11(6 - \beta)(\beta_c + 0.2)}{100\gamma_T + 1.6}$$

Joint shear deformation (a part of δ_T)

$$\gamma_T = \left(\delta_T - \frac{\varepsilon_y L}{2h_b} \right) \frac{3 - 5\kappa}{6 - 3h_c/L - 3h_b/H}$$

Joint shear deformation at joint shear capacity = joint shear demand



Joint shear strength degradation

Plastic Hinge Model :

Beam-Column Joint

- Joint Deformation

| | | Modeling parameter | | Allowable limit | | |
|--|-----------------------|--------------------|---|---|----------------------|-------------------------|
| | | | | occupancy | Life safety | Collapse prevention |
| Joint shear deformation at joint shear capacity = joint shear demand | Interior joint (rad.) | a_j | $0 \leq \frac{1}{1050} \left[\frac{1.1\alpha_c(6 - \beta)(\beta_c + 0.2)f_{ck}b_s h_c}{V_u - V_T} - 16 \right] \leq a_m$ | 0.0 | 0.5 b_j < a_j | 0.7 b_j $\geq a_j$ |
| | | b_j | $a_j + 0.01$ | | | |
| | Exterior joint (rad.) | a_j | $0 \leq \frac{1}{1050} \left[\frac{2.8\alpha_c(3 - \beta)(\beta_c + 0.1)f_{ck}b_s h_c}{V_u - V_T} - 8 \right] \leq a_m$ | | | |
| | | b_j | $a_j + 0.01$ | | | |
| Joint shear deformation at bond failure | Max. a (rad.) | a_m | $0.03(1 - 0.1 \frac{a_j}{a_{pb}}) \geq 0.01$ | | | |
| | a at Bond failure | a_{pb} | $a_t f_y (\kappa - 0.13)(3 - 5\kappa) \frac{1 - 0.45(h_b/L_s)}{3400}$ (interior) | $a_t f_y (\kappa - 0.12)(3 - 5\kappa) \frac{1 - 0.45(h_b/L_s)}{3000}$ (exterior) | | |

Plastic Hinge Model :

Beam-Column Joint

- Deformation of beam affected by joint damage (penetration of yielding of beam rebar)

overall deformation = joint shear + beam end

$$a = a_j + a_f$$

$$b = b_j + b_f$$

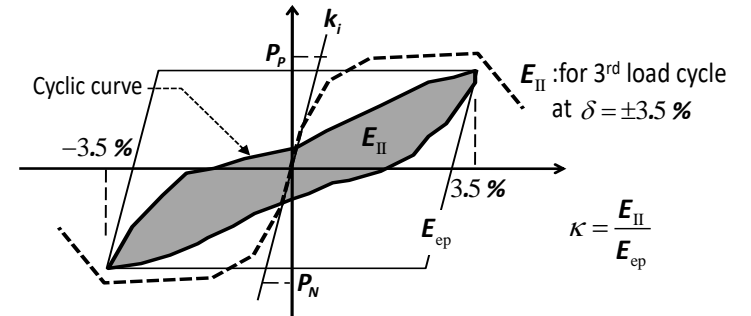
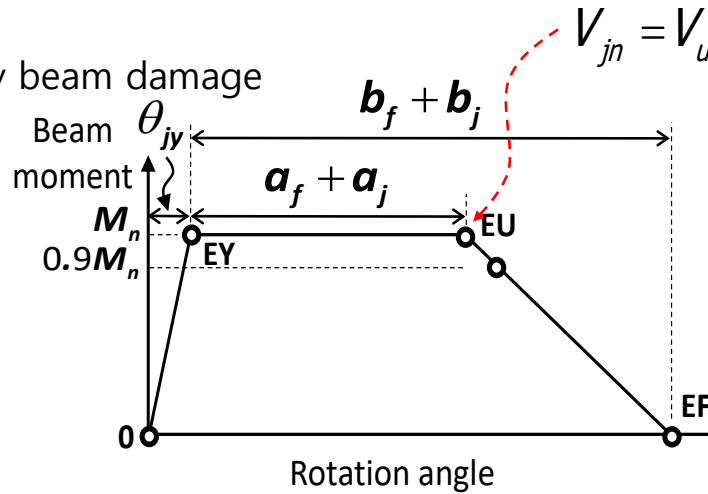
$$a_f = \frac{3+5\kappa}{3-5\kappa} a_j \leq a_{mf}$$

$$b_f = \frac{3+5\kappa}{3-5\kappa} b_j \leq b_{mf}$$

For $\kappa = 0.2$, $a_f = 2a_j$

For $\kappa = 0.4$, $a_f = 5a_j$

Limited by beam damage

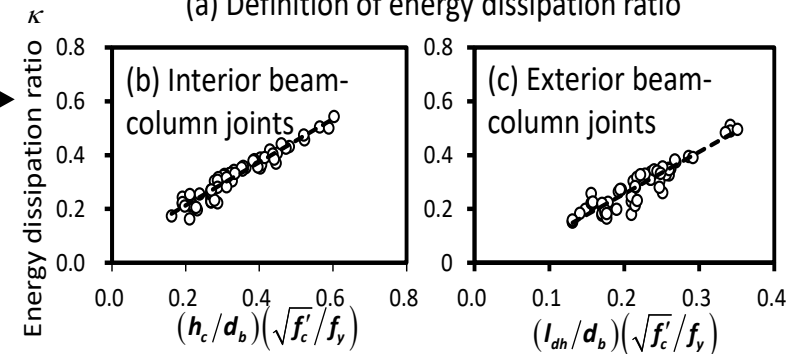


(a) Definition of energy dissipation ratio

Energy dissipation factor

$$\kappa = 0.15 \leq 0.8 \frac{h_c}{d_b} \frac{\sqrt{f_{ck}}}{f_y} + 0.05 \leq 0.5 \quad \kappa = 0.15 \leq 1.56 \frac{l_{dh}}{d_b} \frac{\sqrt{f_{ck}}}{f_y} - 0.06 \leq 0.5$$

Bond-resistance parameter

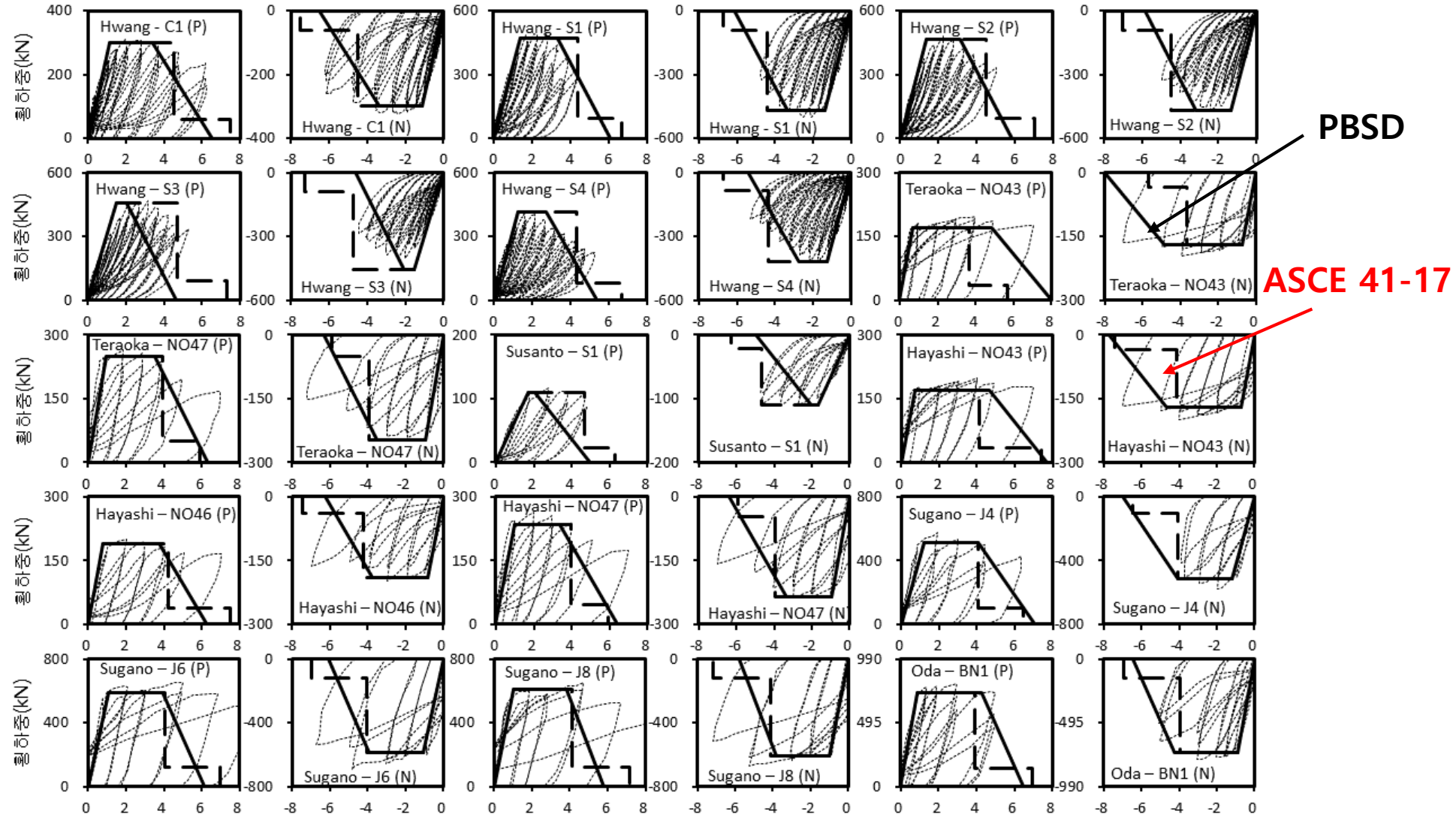


Plastic Hinge

Model : Beam-Column Joint

ASCE 41 overestimates the deformation capacity of interior joints showing large bond-slip due to use of high-strength bars and large diameter bars

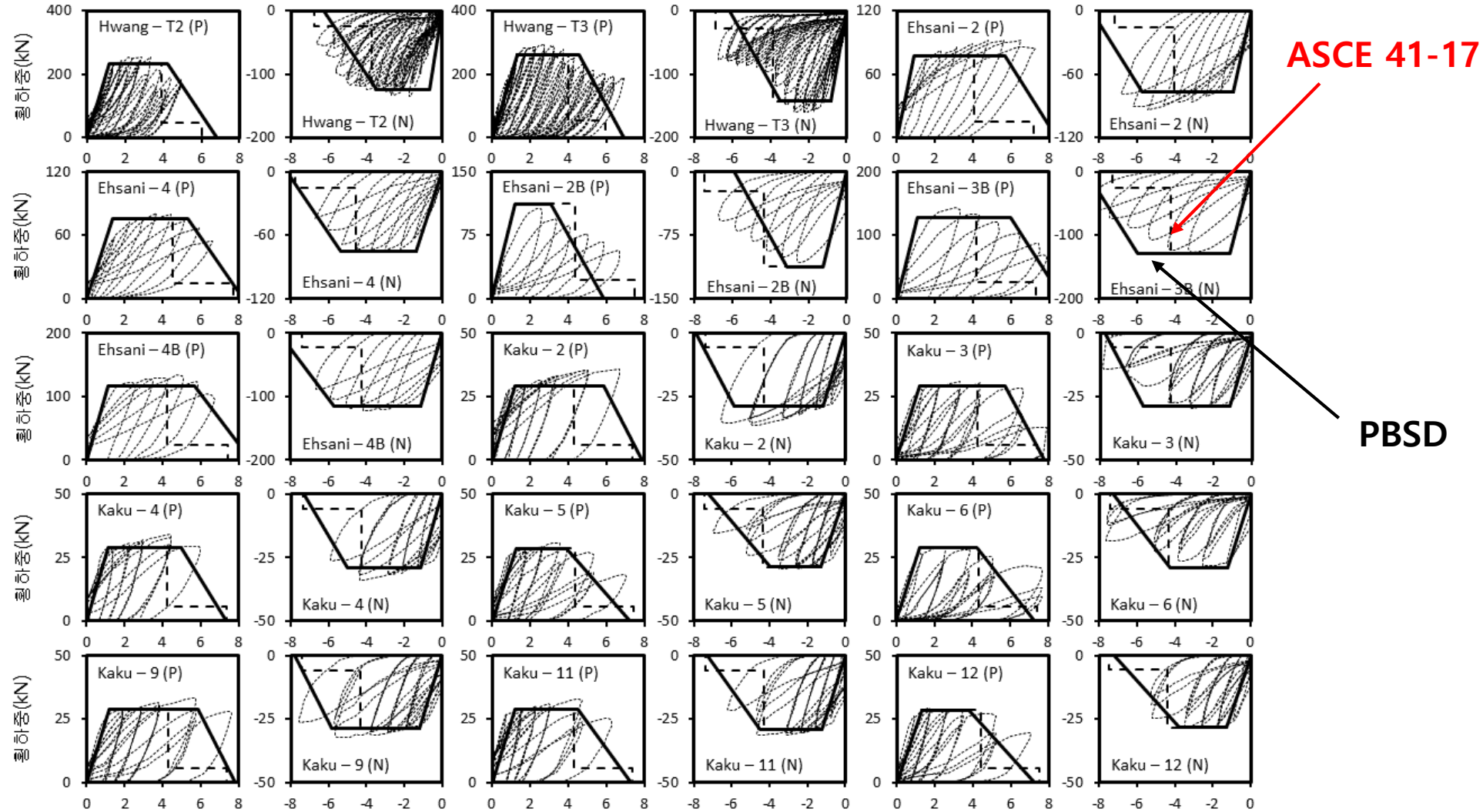
- Verification for Interior joints



Plastic Hinge Model : Beam-Column Joint

- Verification for Exterior joints

ASCE 41
underestimates the
deformation capacity
of exterior joints



Plastic Hinge

Model : Column

- Shear strength

$$V_c = 0.17 \left(1 + \frac{N_u}{14A_g} \right) \sqrt{f_{ck}} bd \quad \text{and} \quad V_s = f_{yt} A_v \frac{d}{s}$$

- Compression ratio and shear span

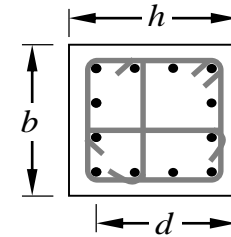
$$\eta = \frac{N_u}{f_{ck} A_g} \quad l_s = 0.5 h_n$$

- Effective stiffness, yield deformation

$$EI_e = \alpha EI_g \quad \text{where} \quad \alpha = (\eta + 0.2) \left(\frac{l_s}{4h} \right) \quad (0.3 \leq \alpha \leq 0.7)$$

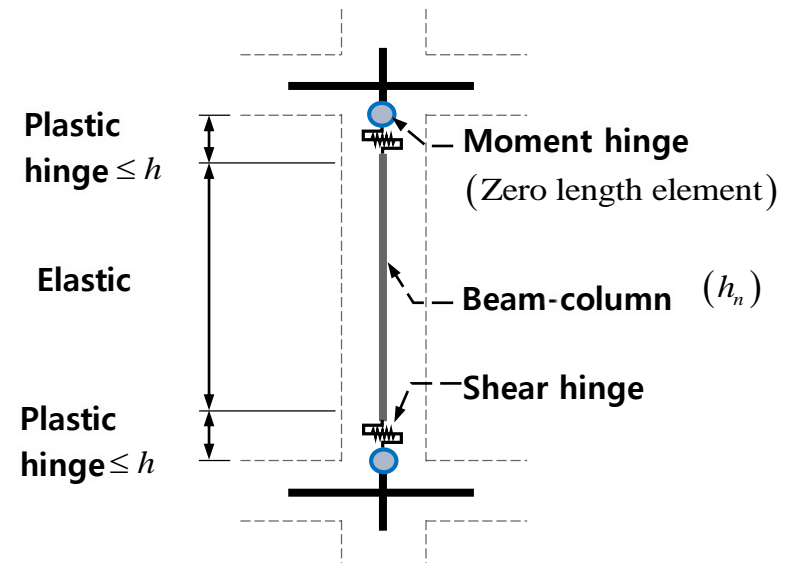
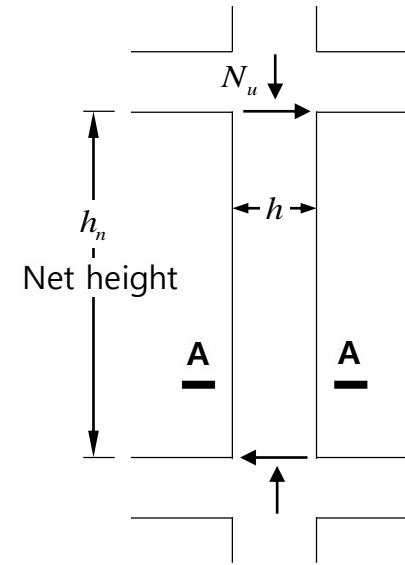
$$\theta_y = \frac{\Delta_y}{l} = \frac{V_y}{3EI_e / l^2} \quad \text{or} \quad \frac{V_y}{K_e}$$

$$\text{Shear span } l = \frac{h_n}{2}$$



$$A_g = bh; \quad I_g = \frac{bh^3}{12}$$

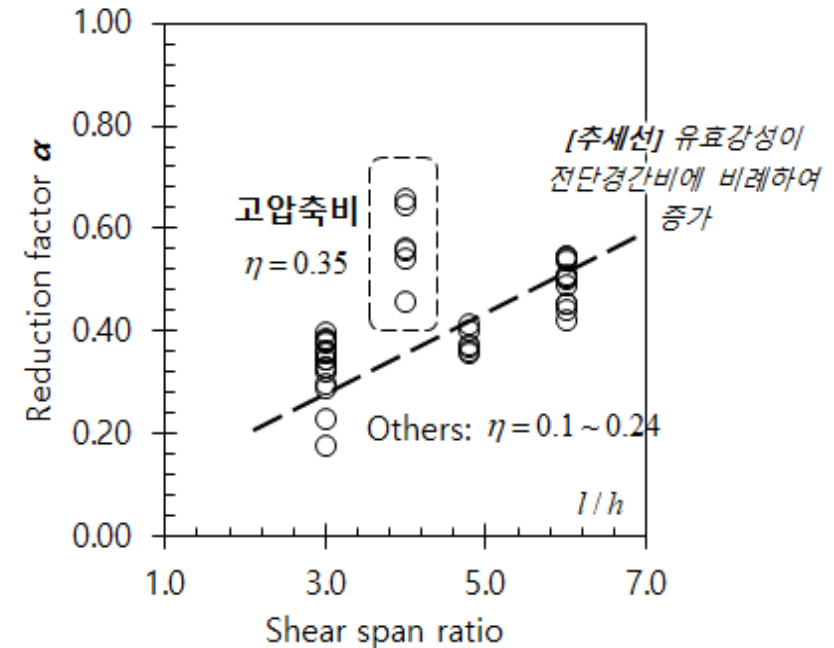
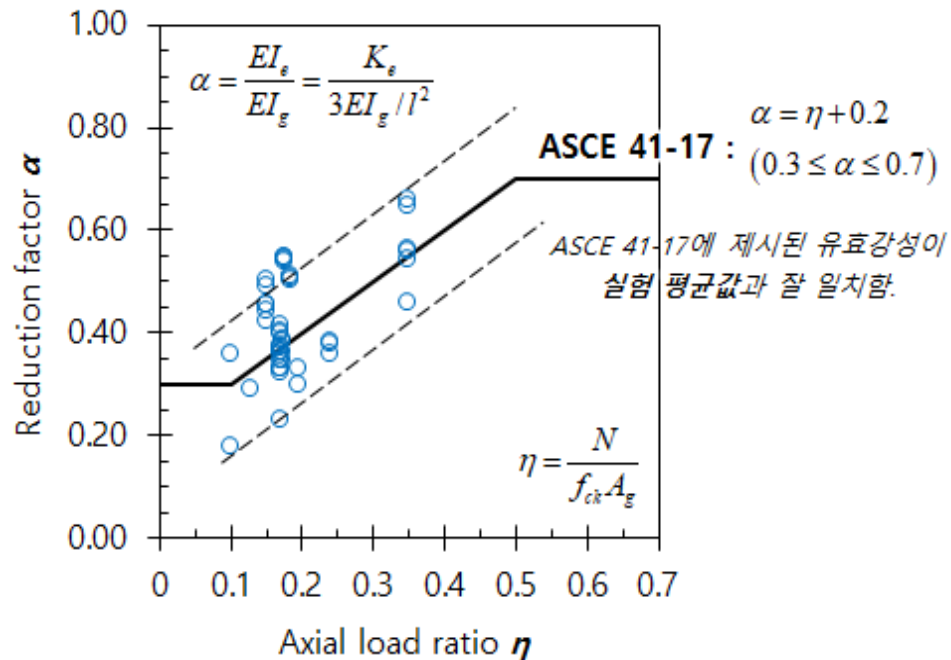
A-A section



Plastic Hinge Model : Column

- Effective stiffness
- Effective stiffness of column depends on shear span ratio as well as compression force ratio.

$$0.3E_c I_g \leq (\eta + 0.2) \left(\frac{l_s}{4h} \right) E_c I_g \leq 0.7E_c I_g$$



Plastic Hinge Model : Column

- Deformation capacity according to failure mode

- ① Failure mode 1 : shear failure before yielding

$$V_c + V_s < V_y \quad V_y = M_n / l$$

- ② Failure mode 2 : shear failure after flexural yielding

$$V_c + V_s \geq V_y \quad \text{and} \quad V_s \leq V_y$$

» Shear resistance index

$$\mu = \begin{cases} 5 - 3 \left(\frac{V_y - V_s}{V_c} \right) & \text{for seismic details} \\ 4 - 2 \left(\frac{V_y - V_s}{V_c} \right) & \text{for non-seismic details} \end{cases} \quad \text{and} \quad \theta_a = \frac{\Delta_a}{l} = \mu \theta_y$$

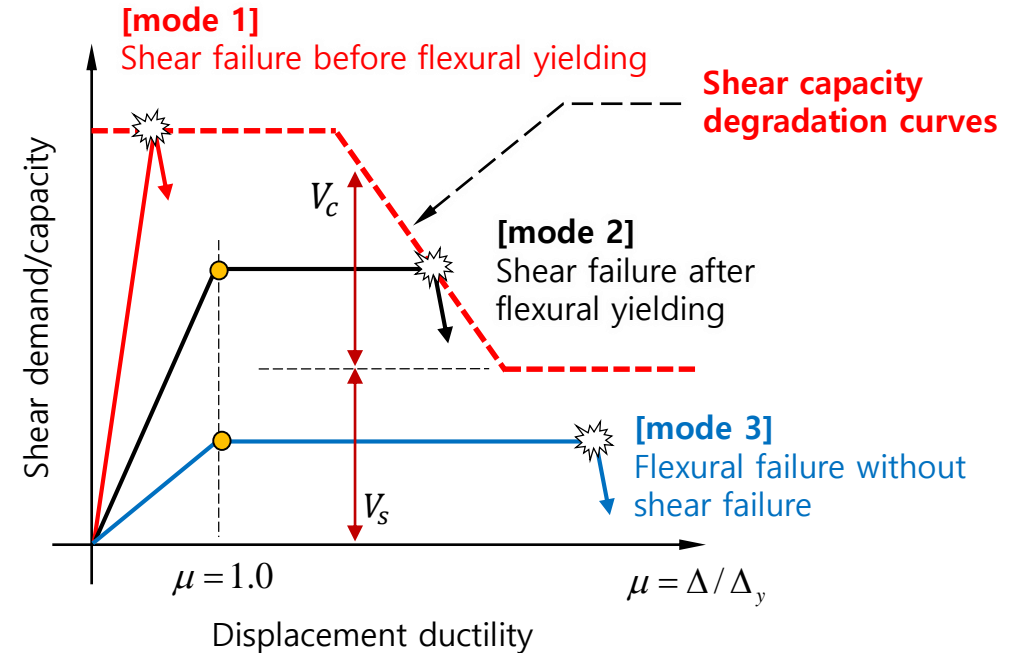
- ③ Failure mode 3 : flexural failure without shear

$$V_c + V_s \geq V_y \quad \text{and} \quad V_s > V_y$$

$$\mu = \begin{cases} 5 & \text{for seismic details} \\ 4 & \text{for non-seismic details} \end{cases} \quad \text{and} \quad \theta_a = \frac{\Delta_a}{l} = \mu \theta_y$$

- ④ Failure mode 4 : compression sliding failure

$$\theta_b = \frac{\Delta_u}{l} = \frac{0.48}{4.6 + \frac{N_u}{V_s}}$$



$$a = \theta_a - \theta_y \quad V_c + V_s \geq V_y$$

$$b = \theta_b - \theta_y$$

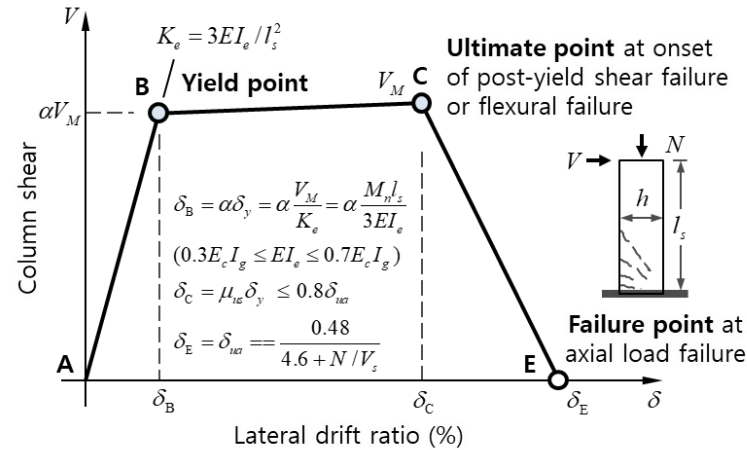
- Modeling parameters a and b are determined by yield rotation and ductility

Plastic Hinge Model : Column

- Deformation capacity according to failure mode

PBSD

Function of ductility



(a) Proposed method

| 구분 | a | b | c |
|----------------------|-----------------------|-----------------------|---|
| $V_c + V_s < V_y$ | - | - | - |
| $V_c + V_s \geq V_y$ | $\theta_u - \theta_y$ | $\theta_a - \theta_y$ | - |

$$\delta_B = \alpha \delta_y = \alpha \frac{V_M}{K_e} = \alpha \frac{M_n l_s}{3EI_e}$$

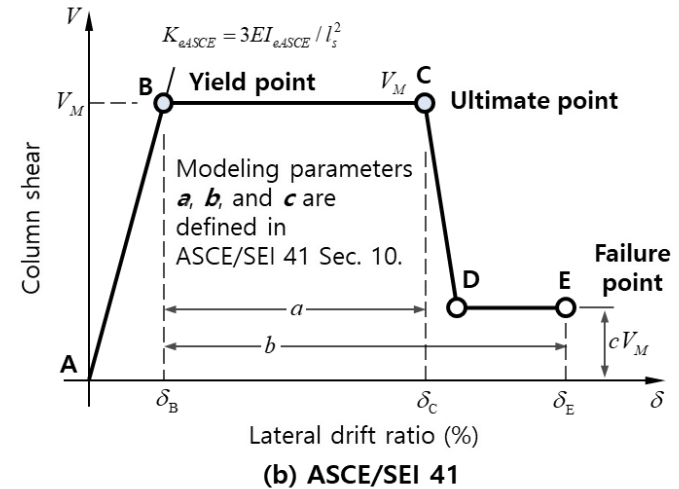
$$(0.3E_c I_g \leq EI_e \leq 0.7E_c I_g)$$

$$\delta_C = \mu_{us} \delta_y \leq 0.8 \delta_{us}$$

$$\delta_E = \delta_{us} = \frac{0.48}{4.6 + N/V_s}$$

$$\mu_{us} = \begin{cases} 5 - 3 \left(\frac{V_y - V_s}{V_c} \right) \leq 5 & \text{for seismic details} \\ 4 - 2 \left(\frac{V_y - V_s}{V_c} \right) \leq 4 & \text{for non-seismic details} \end{cases}$$

ASCE



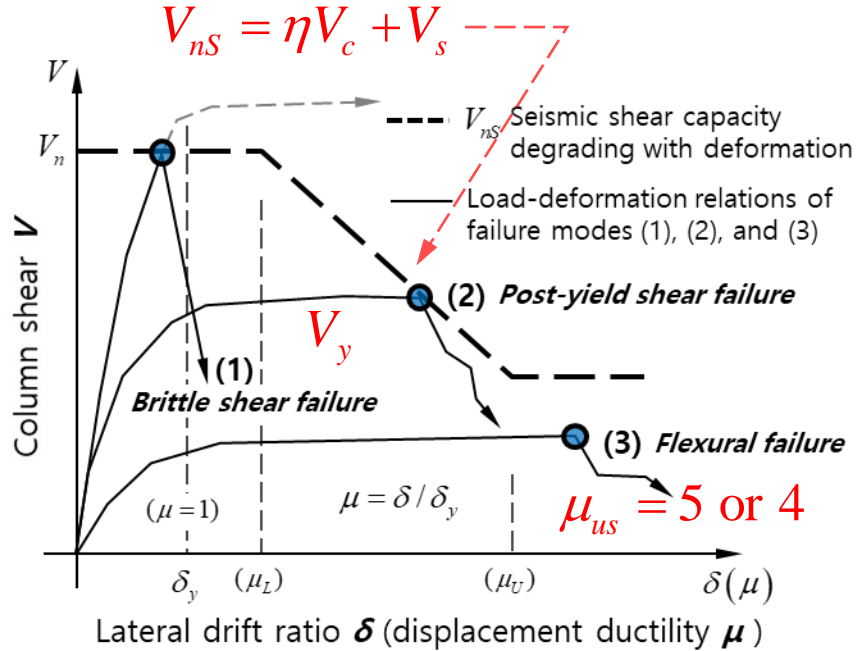
(b) ASCE/SEI 41

Table 10-8. Modeling Parameters and Numerical Acceptance Criteria for Nonlinear Procedures—Reinforced Concrete Columns Other Than Circular with Spiral Reinforcement or Seismic Hoops as Defined in ACI 318

| Modeling Parameters | Acceptance Criteria | | |
|--|---|-----------|-----------|
| | Plastic Rotation Angle (radians) | | |
| | Performance Level | | |
| Plastic Rotation Angles, <i>a</i> and <i>b</i> (radians) | IO | LS | CP |
| Residual Strength Ratio, <i>c</i> | | | |
| Columns not controlled by inadequate development or splicing along the clear height ^a | | | |
| $a = \left(0.042 - 0.043 \frac{N_{UD}}{A_g f_{cE}} + 0.63 \rho_t - 0.023 \frac{V_{yE}}{V_{c0IOE}} \right) \geq 0.0$ | $0.15 a$ ≤ 0.005 | $0.5 b^b$ | $0.7 b^b$ |
| For $\frac{N_{UD}}{A_g f_{cE}} \leq 0.5$ | $b = \frac{0.5}{5 + \frac{N_{UD}}{0.8 A_g f_{cE}} - \rho_t \frac{f_{tE}}{f_{yIE}}} - 0.01 \geq a^a$ | | |
| $c = 0.24 - 0.4 \frac{N_{UD}}{A_g f_{cE}} \geq 0.0$ | | | |
| Columns controlled by inadequate development or splicing along the clear height ^a | | | |
| $a = \left(\frac{\rho_t f_{yIE}}{8 \rho_t f_{yIE}} \right) \leq 0.025^d$ | 0.0 | $0.5 b$ | $0.7 b$ |
| $b = \left(0.012 - 0.085 \frac{N_{UD}}{A_g f_{cE}} + 12 \rho_t^e \right) \geq 0.0$ $\geq a$ ≤ 0.06 | | | |
| $c = 0.15 + 36 \rho_t \leq 0.4$ | | | |

Plastic Hinge Model : Column

- verification

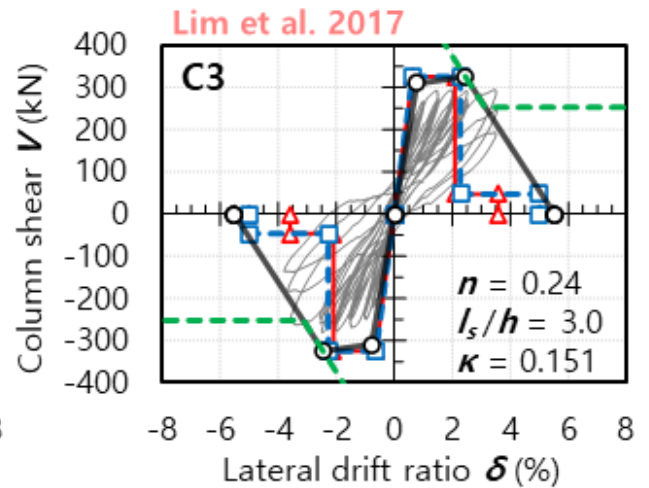
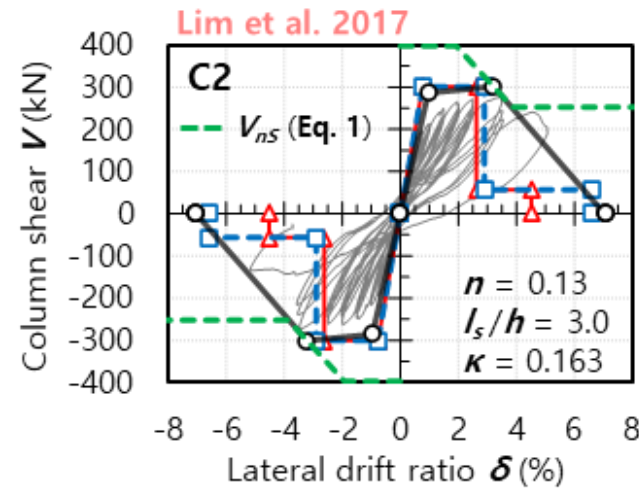
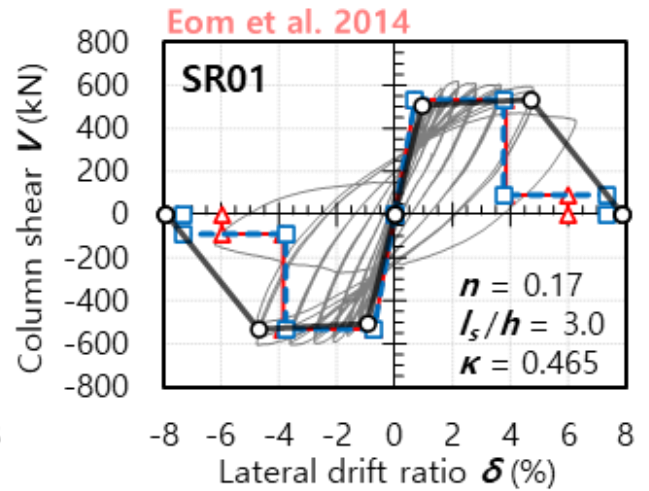
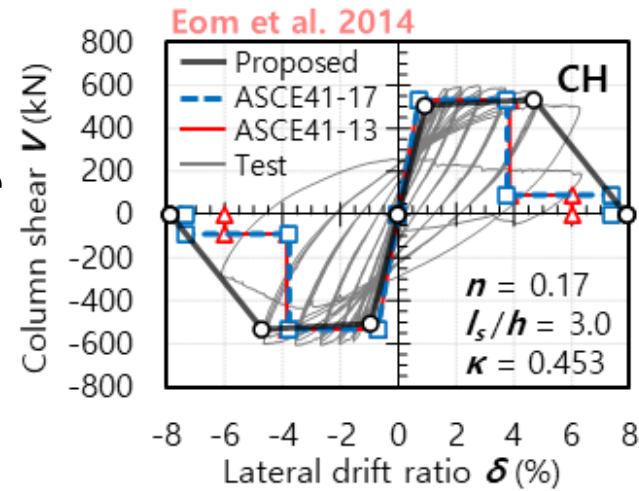


Flexural failure without shear failure

Failure mode ③

Shear failure after flexural yielding

Failure mode ②

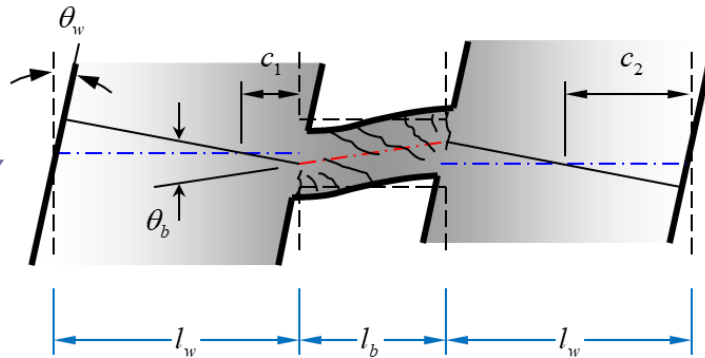
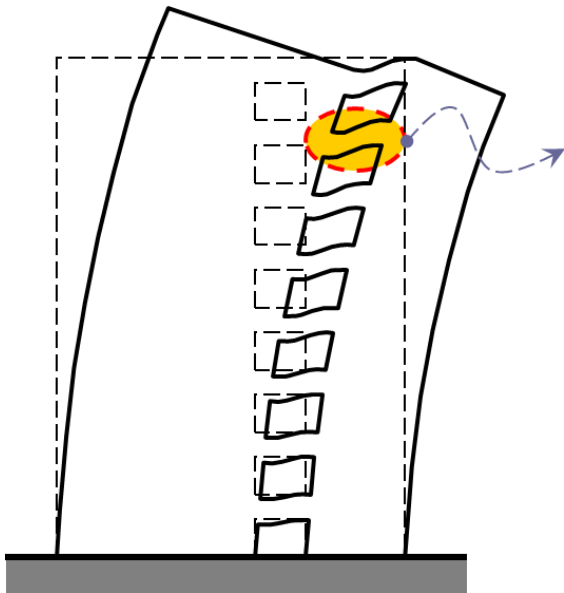


Plastic Hinge Model : Coupling Beam

- Deformation of coupling beam

Deformation amplification

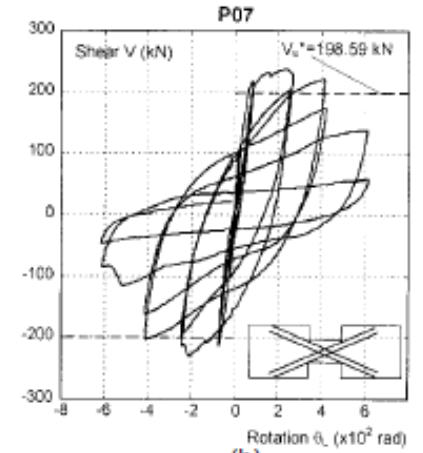
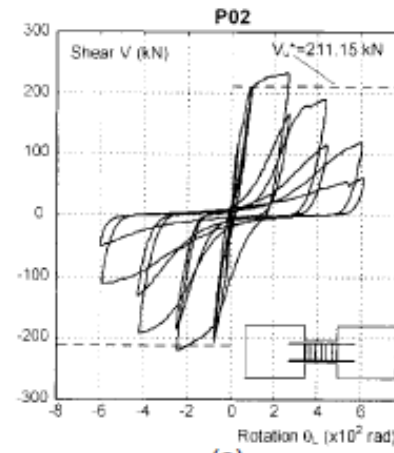
$$\theta_b = \frac{c_1\theta_w + (l_w - c_2)\theta_w}{l_b} \approx \frac{l_w}{l_b}\theta_w$$



θ_b, θ_w : Rotations of coupling beam and wall
 l_b, l_w : Lengths of coupling beam and wall

$$\theta_b = \frac{c_1\theta_w + (l_w - c_2)\theta_w}{l_b} \approx \frac{l_w}{l_b}\theta_w$$

Deformation angle of coupling beam



Plastic Hinge

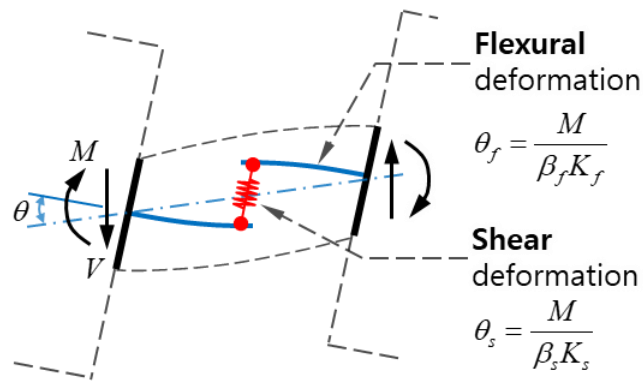
Model :

Coupling Beam

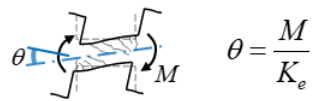
- Effective Stiffness

PBSD $EI_e = 0.3EI_g$ and $GA_e = 0.04\left(\frac{l}{h}\right)GA_w$

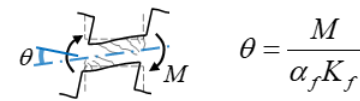
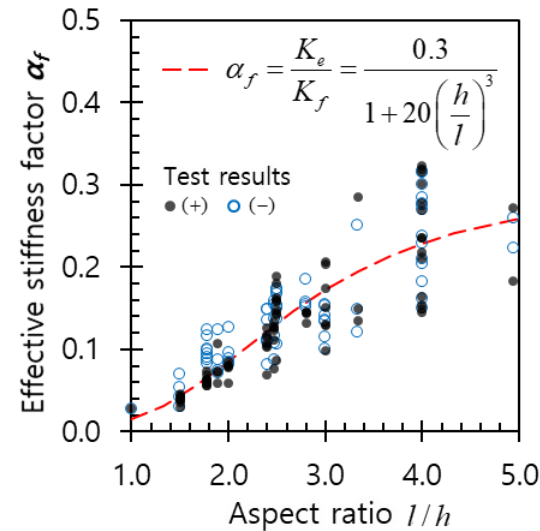
ASCE 41 $EI_e = 0.3EI_g$ and $GA_e = GA_w$



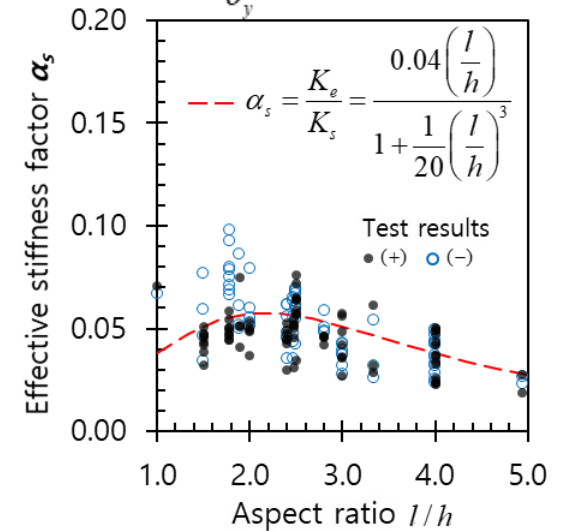
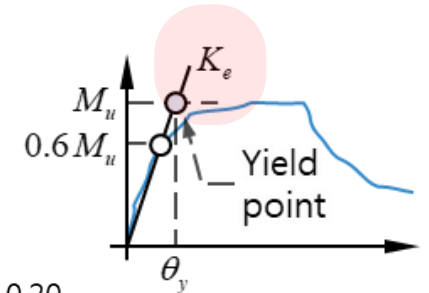
Total chord rotation $\theta = \theta_f + \theta_s$ and $\frac{M}{K_e} = \frac{M}{\beta_f K_f} + \frac{M}{\beta_s K_s}$



(a) Combined behavior



(b) Flexural rigidity only

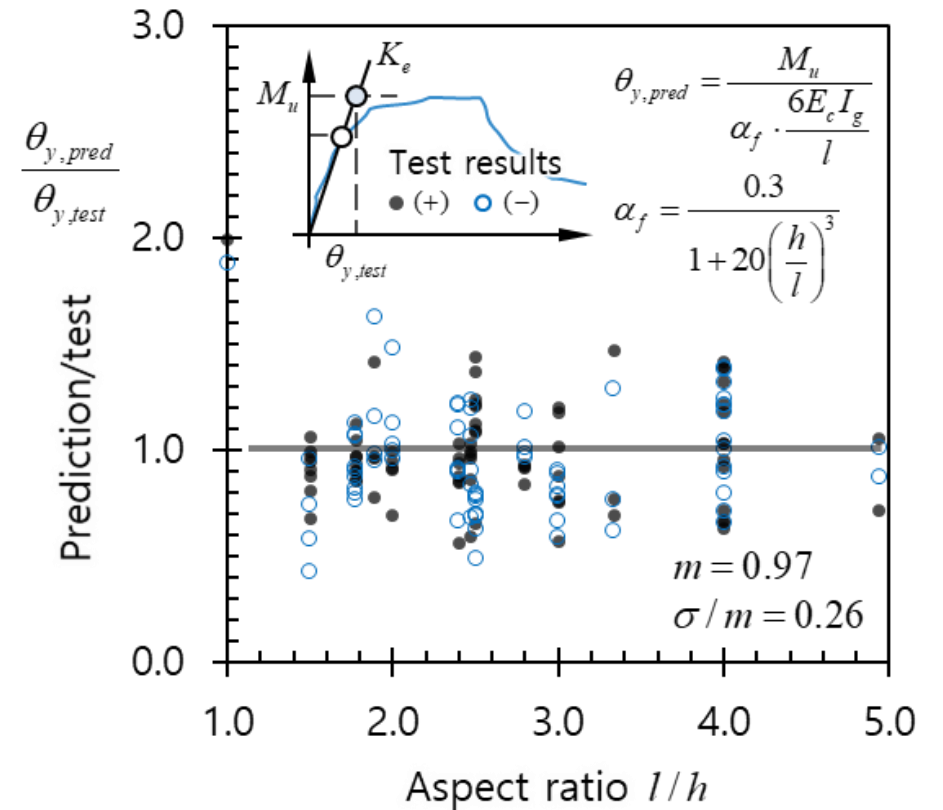


(c) Shear rigidity only

Plastic Hinge Model : Coupling Beam

- Yield deformation

$$\frac{\Delta_y}{l} \text{ or } \theta_y = \frac{M_n}{\alpha_f K_f} = \frac{M_n}{\frac{0.3}{1+20(h/l)^3} \left(\frac{6E_c I_g}{l} \right)} = \frac{1+20(h/l)^3}{1.8} \frac{M_n l}{E_c I_g}$$



Plastic Hinge

Model : Coupling Beam

- Plastic deformation (based existing test results)

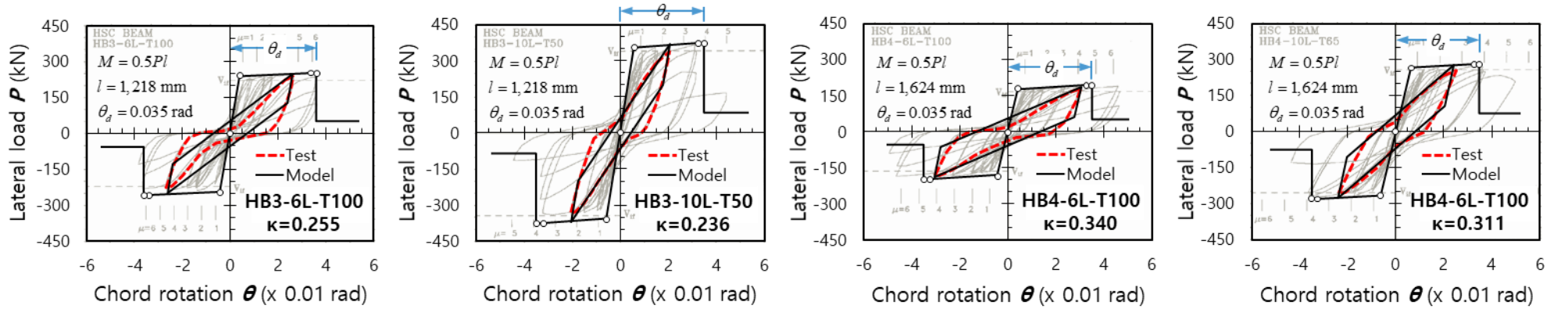
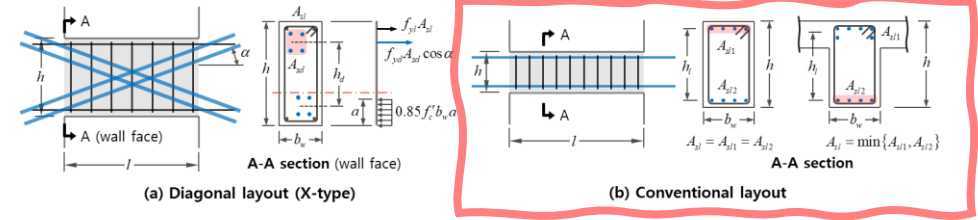
| Design parameter | | Modeling parameter | | | Allowable limit | | | |
|---------------------------------|-----------------------------|---------------------|-----------------------|------------------|-----------------|-------------|---------------------|---|
| Reinforcement detail | Lateral reinforcement ratio | a (rad) | b (rad) | c | Occupancy | Life safety | Collapse prevention | |
| Diagonal | seismic† | NA | $0.030-\theta_y$ | $0.050-\theta_y$ | 0.80 | θ_y | a | b |
| | Non-seismic† | $\rho_t \geq 0.003$ | $0.030-\theta_y$ | $0.050-\theta_y$ | 0.80 | θ_y | a | b |
| | | $\rho_t < 0.003$ | $0.020-\theta_y$ | $0.035-\theta_y$ | 0.50 | θ_y | a | b |
| conventional: $V_y \leq V_n$ | seismic† | NA | $0.025-\theta_y$ | $0.050-\theta_y$ | 0.75 | θ_y | a | b |
| | Non-seismic† | $\rho_t \geq 0.003$ | $0.020-\theta_y$ | $0.035-\theta_y$ | 0.50 | θ_y | a | b |
| | | $\rho_t < 0.003$ | $0.015-\theta_y$ | $0.035-\theta_y$ | 0.25 | θ_y | a | b |
| conventional: $V_y > V_n$ | All cases | All cases | $0.008-\theta_y^{++}$ | $0.014-\theta_y$ | 0.20 | NA | a | b |

V_y = shear force corresponding to flexural yielding ($= \frac{2M_n}{l}$) M_n = flexural strength of coupling beam l = net beam length

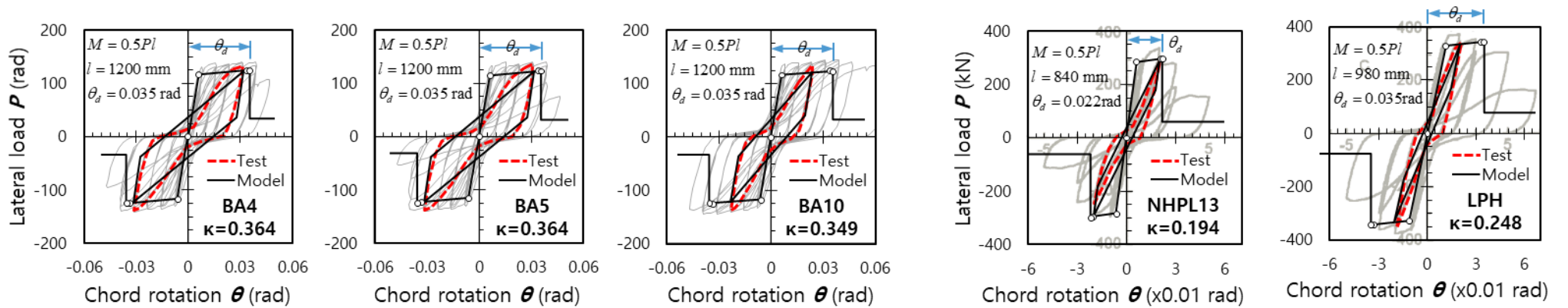
V_n = shear strength of coupling beam ρ_t = lateral reinforcement ratio

Plastic Hinge Model : Coupling Beam

- Verification : conventional reinforcement



(a) Xiao et al. 1999

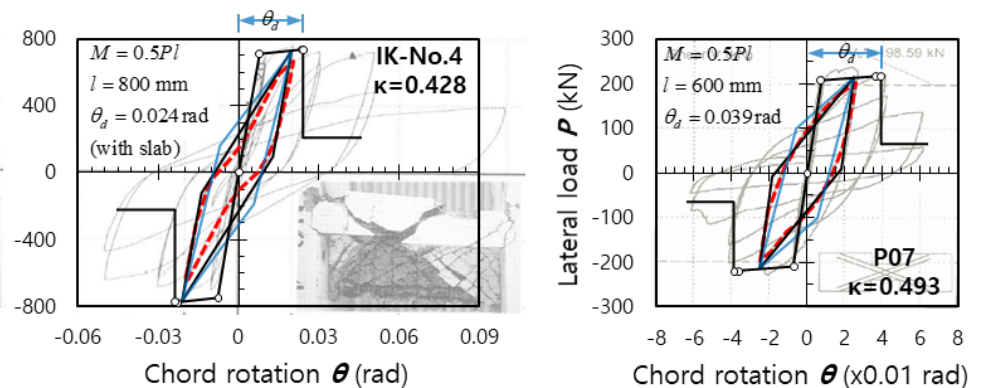
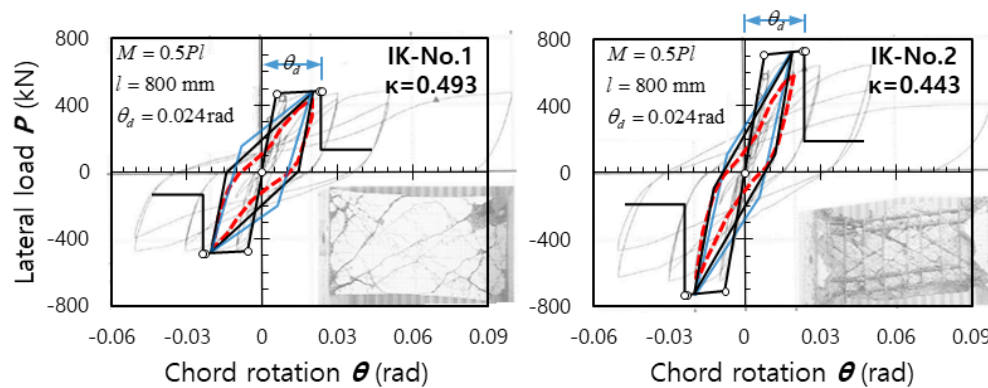
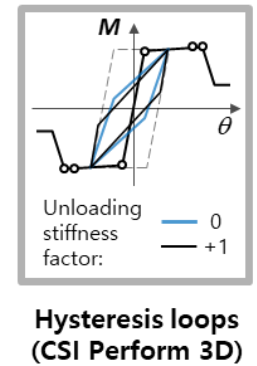
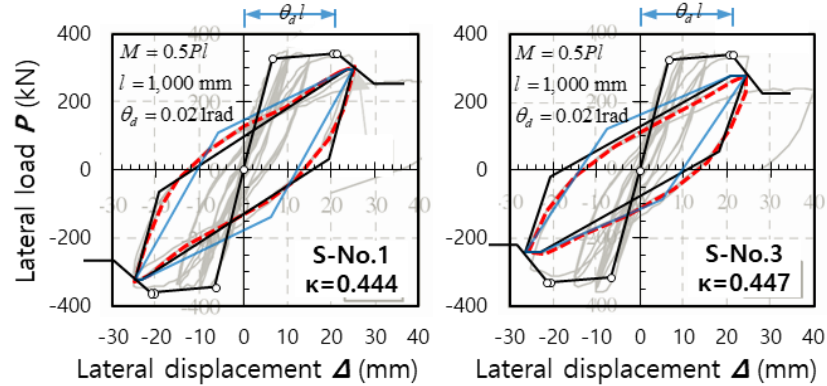
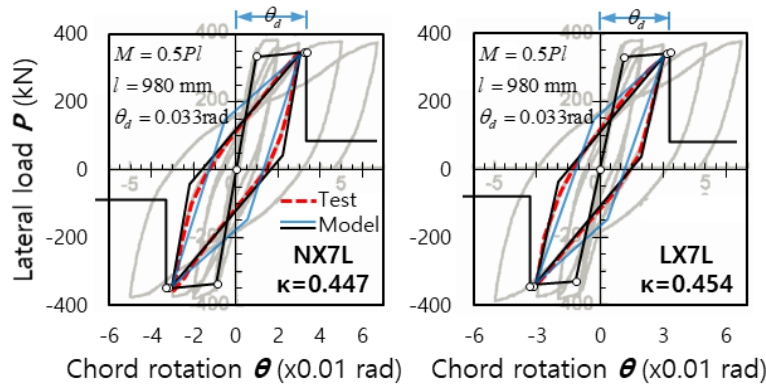
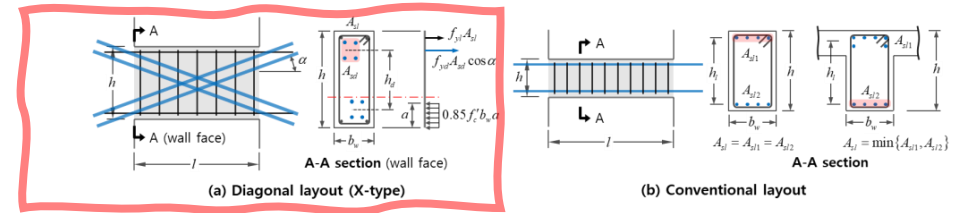


(b) Muguruma and Kinugasa 1990

(c) KanaKubo et al. 1996

Plastic Hinge Model : Coupling Beam

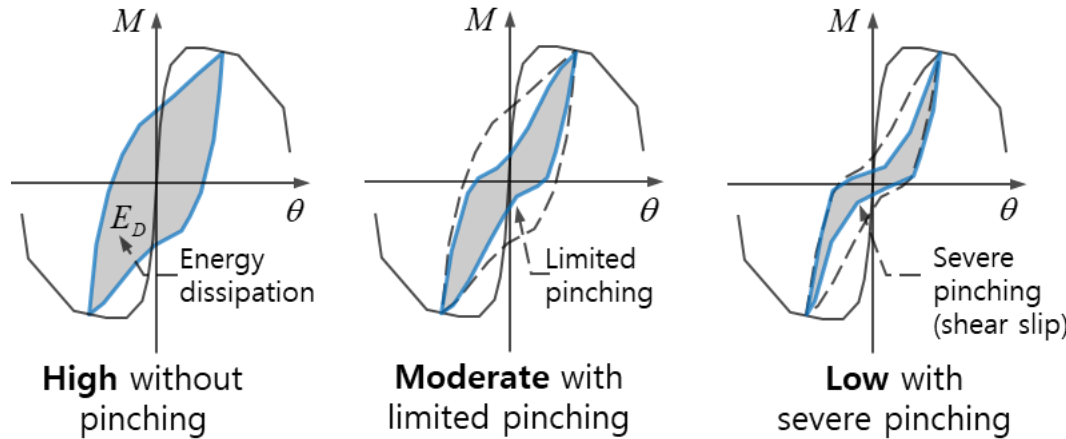
- Verification : diagonal reinforcement



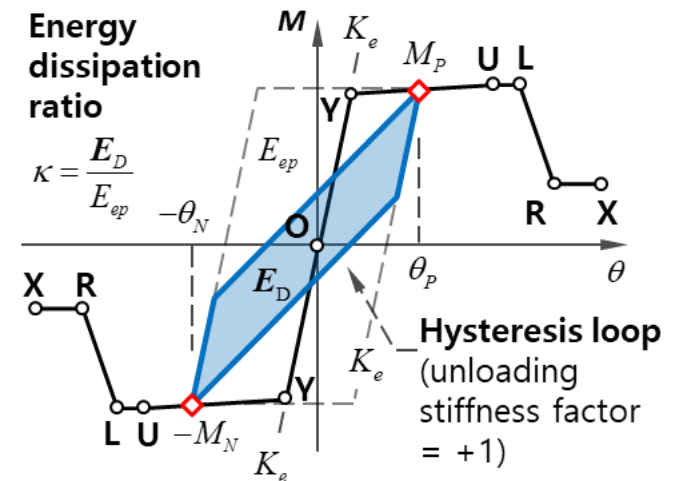
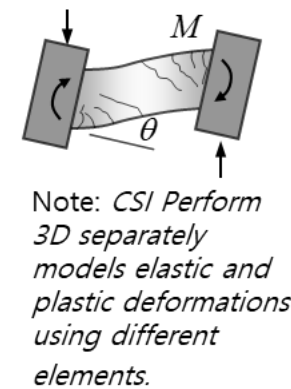
Plastic Hinge

Model : Cyclic Curve for Time History Analysis

- Energy dissipation based cyclic model
- ◆ By controlling energy dissipation (i.e., area of hysteresis loops), the overall shape of cyclic curves and unloading/reloading stiffnesses can be described with reasonable accuracy.



(a) Classifications of hysteretic energy dissipation

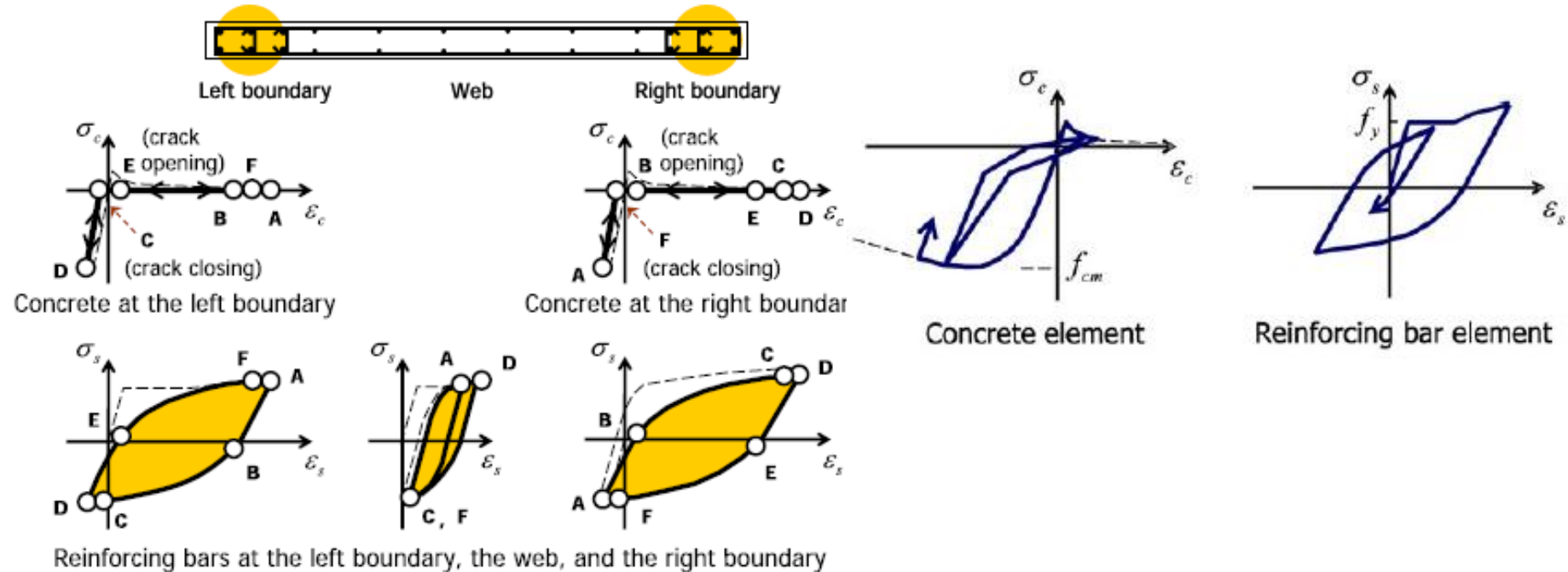
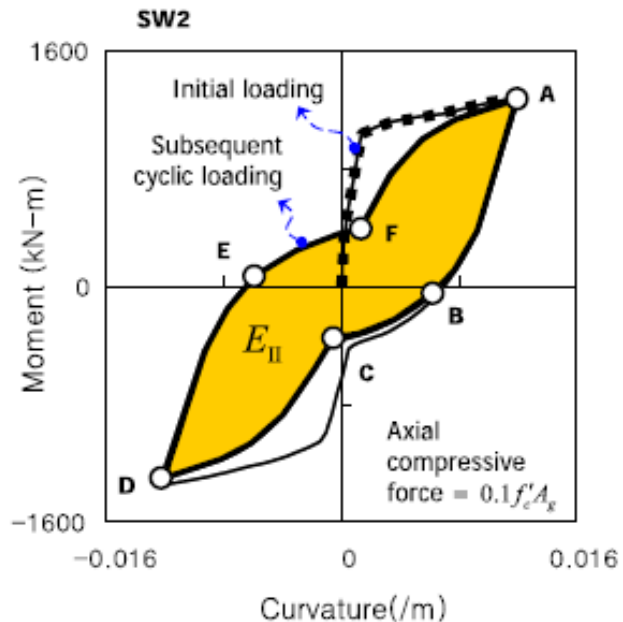


(b) Energy-based model in CSI Perform 3D

Plastic Hinge

Model : Cyclic Curve for Time History Analysis

- Energy dissipation mechanism
- Most of energy during cyclic loading is dissipated by steel reinforcement (plastic material) rather than concrete (brittle material)

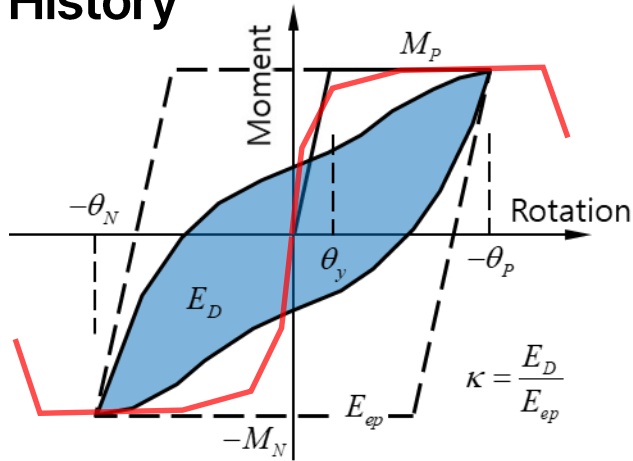


Plastic Hinge

Model : Cyclic

Curve for Time History Analysis

- Calculation of Energy dissipation density



$$E_{ep} = (M_P + M_N)(\theta_P + \theta_N - 2\theta_y)$$

where

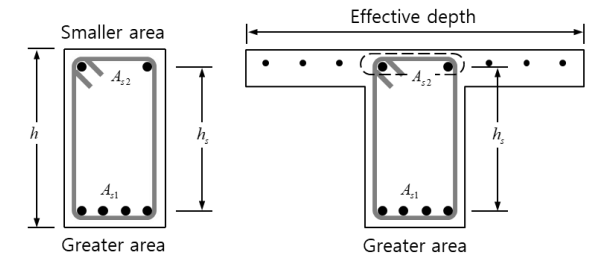
$$\theta_y = 1.70\varepsilon_y l_p / h \text{ for beams,}$$

$$2.12\varepsilon_y l_p / h \text{ for rectangular columns,}$$

$$2.35\varepsilon_y l_p / D \text{ for circular columns, and}$$

$$2.00\varepsilon_y l_p / h \text{ for walls}$$

Priestley (2000). Performance based seismic design. (WCEE)

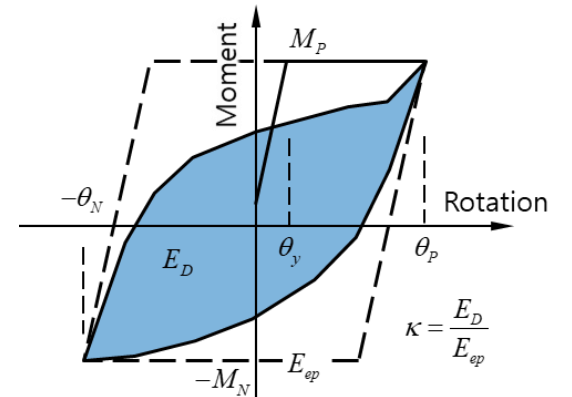


Beam with Rectangular cross-section

$$E_D = 4R_B f_y A_{s2} \frac{h_s}{2} \left(\theta_P + \theta_N - \left[3 + \frac{A_{s2}}{A_{s1}} \right] \varepsilon_y \frac{l_p}{h_s} \right)$$

$$\kappa = \frac{E_D}{E_{ep}} = \frac{4R_B f_y A_{s2} \frac{h_s}{2} \left(\theta_P + \theta_N - \left[3 + \frac{A_{s2}}{A_{s1}} \right] \varepsilon_y \frac{l_p}{h_s} \right)}{(M_P + M_N) \left(\theta_P + \theta_N - 3.4\varepsilon_y \frac{l_p}{h} \right)} \approx \frac{2R_B f_y A_{s2} h_s}{(M_P + M_N)} = \frac{3}{2} \frac{f_y A_{s2} h_s}{(M_P + M_N)}$$

Smaller area A_{s2} is used.



Plastic Hinge

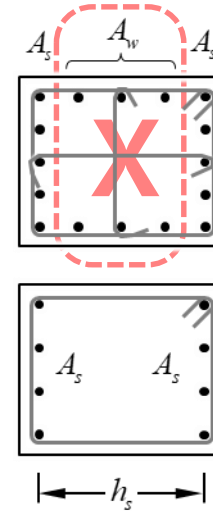
Model : Cyclic Curve for Time History Analysis

- Calculation of Energy dissipation density

Column with Rectangular cross-section

$$E_D = 4R_B f_y A_s \frac{h_s}{2} \left(\theta_p + \theta_N - 4\varepsilon_y \frac{l_p}{h_s} \right)$$

$$\kappa = \frac{E_D}{E_{ep}} = \frac{4R_B f_y A_s \frac{h_s}{2} \left(\theta_p + \theta_N - 4\varepsilon_y \frac{l_p}{h_s} \right)}{(M_p + M_N) \left(\theta_p + \theta_N - 4.24\varepsilon_y \frac{l_p}{h} \right)} \approx \frac{2R_B f_y A_s h_s}{(M_p + M_N)} \boxed{\frac{3}{2} \frac{f_y A_s h_s}{(M_p + M_N)}}$$



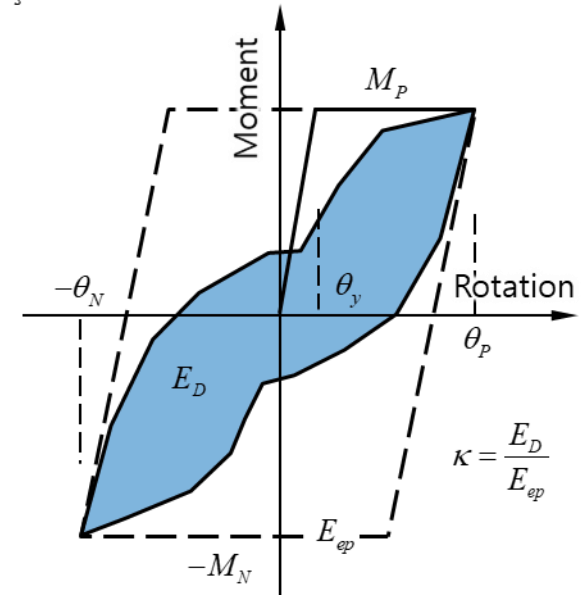
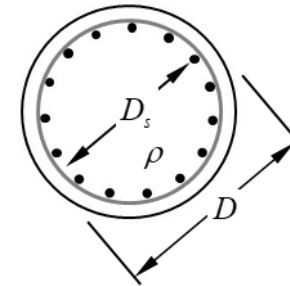
Neglect web reinforcement
with small contribution

Column with Circular section

$$E_D = 4R_B f_y \frac{\rho \pi D^2}{4} \frac{D_s}{2\pi} \left(\theta_p + \theta_N - 2\pi \varepsilon_y \frac{l_p}{D_s} \right)$$

$$\kappa = \frac{E_D}{E_{ep}} = \frac{4R_B f_y \frac{\rho \pi D^2}{4} \frac{D_s}{2\pi} \left(\theta_p + \theta_N - 2\pi \varepsilon_y \frac{l_p}{D_s} \right)}{(M_p + M_N) \left(\theta_p + \theta_N - 4.7\varepsilon_y \frac{l_p}{h} \right)}$$

$$\approx \frac{1}{2} \frac{R_B f_y \rho D^2 D_s}{(M_p + M_N)} \boxed{\frac{3}{8} \frac{\rho f_y D^2 D_s}{(M_p + M_N)}}$$



Plastic Hinge

Model : Cyclic Curve for Time History Analysis

- Calculation of Energy dissipation density

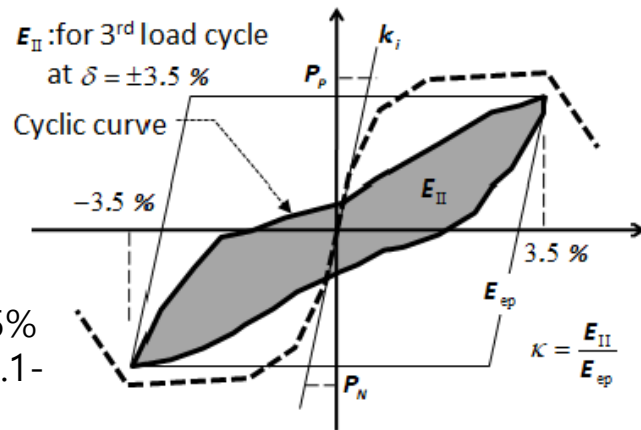
Beam-Column Joint

For interior joints,

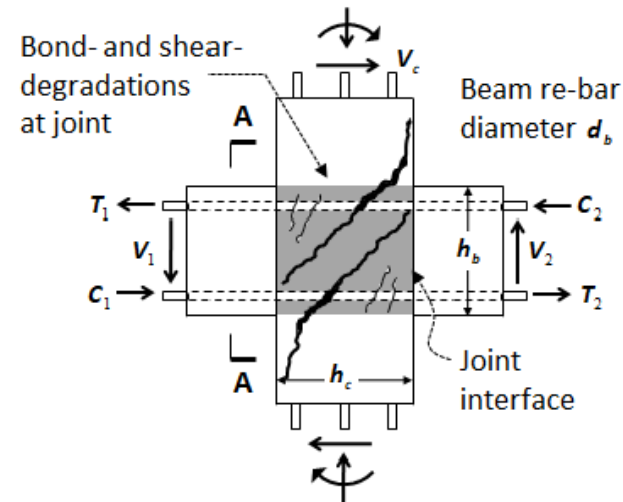
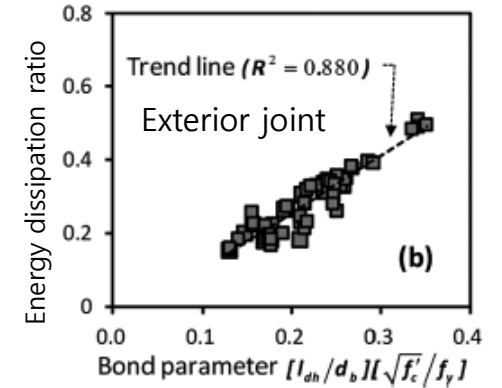
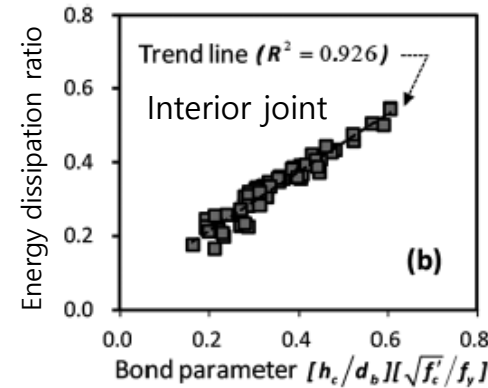
$$\kappa = 0.8 \frac{h_c}{d_b} \frac{\sqrt{f_{ck}}}{f_y} + 0.05 \quad (0.15 \leq \kappa \leq 0.5)$$

For exterior joints,

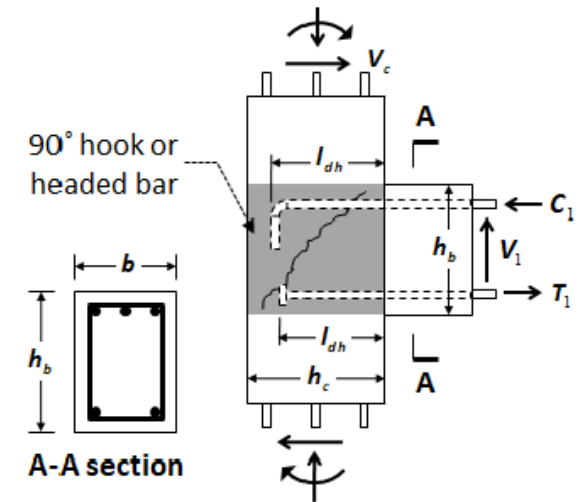
$$\kappa = 1.56 \frac{l_{dh}}{d_b} \frac{\sqrt{f_{ck}}}{f_y} - 0.06 \quad (0.15 \leq \kappa \leq 0.5)$$



(a) Cyclic behavior and energy dissipation of typical beam-column joints



(b) Interior joint



(c) Exterior joint

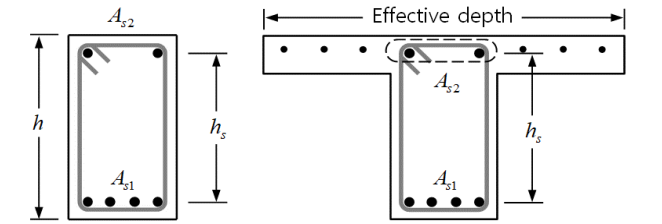
Plastic Hinge

Model : Cyclic

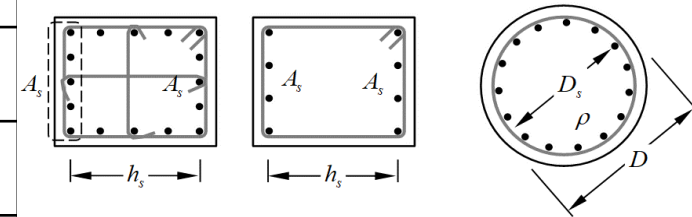
Curve for Time History Analysis

- Energy dissipation density

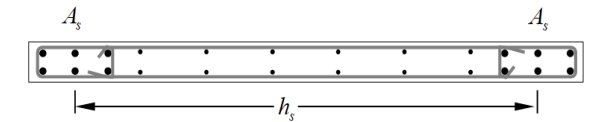
| Member type | Reinforcement detail | Energy dissipation density κ |
|---------------------|-------------------------------------|--|
| Beam (T-beam) | All reinforcement details | $\frac{3 f_y A_{s2} h_s}{2 M_P + M_N} \lambda \geq 0.15$ and $\lambda = \frac{l_s}{5h} (\leq 1)$ |
| Column and wall† | End concentrated reinforcement | $\frac{3 f_y A_s h_s}{2 M_P + M_N} \lambda \geq 0.15$ and $\lambda = \frac{l_s}{3h} (\leq 1)$ |
| | Uniformly distributed reinforcement | $\frac{3 f_y \rho b h^2}{8 M_P + M_N} \lambda \geq 0.15$ and $\lambda = \frac{l_s}{3h} (\leq 1)$ |
| | Circular cross section | $\frac{3 f_y \rho D_s D^2}{8 M_P + M_N} \lambda \geq 0.15$ and $\lambda = \frac{l_s}{3h} (\leq 1)$ |
| Coupling beam | Conventional reinforcement | 0.15 |
| | X - shaped reinforcement | $3 \frac{f_y A_{Ds}}{V_P + V_N} \sin \alpha_D \geq 0.15$ |
| Beam-column joint†† | Continuous joint (+ joint) | $0.15 \leq 0.8 \frac{h_c}{d_b} \frac{\sqrt{f_{ck}}}{f_y} + 0.05 \leq 0.5$ |
| | discontinuous joint(T joint) | $0.15 \leq 1.56 \frac{l_{dh}}{d_b} \frac{\sqrt{f_{ck}}}{f_y} - 0.06 \leq 0.5$ |



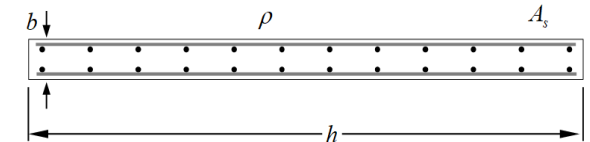
Rectangular or T beams



Rectangular or circular columns



Walls with end-concentrated reinforcement



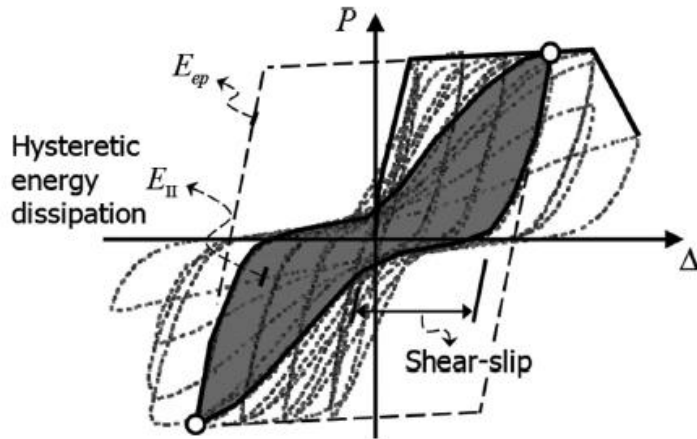
Walls with uniformly distributed reinforcement

Plastic Hinge Model : Cyclic Curve for Time History Analysis

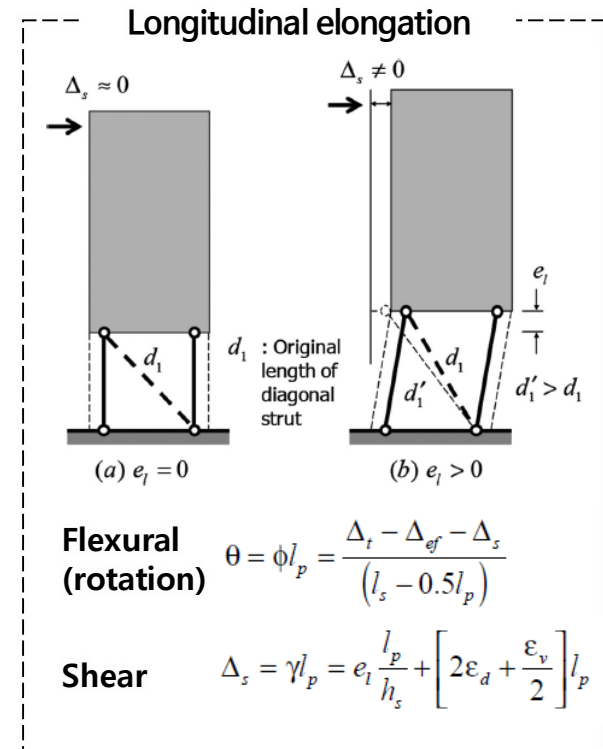
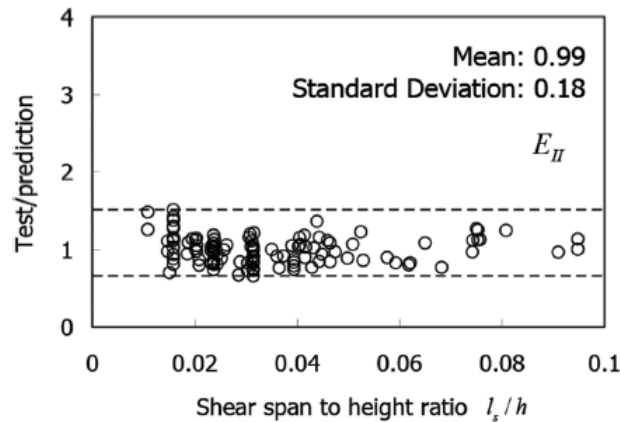
- Energy dissipation density
- ◆ Reduction factor λ for short column ($l_s/h < 3$) and short beam ($l_s/h < 5$)
 - Pinching due to shear deformation and bond-slip

The energy dissipation of RC members should be evaluated using flexural deformation excluding shear deformation

$$E_{II} = 2R_B A_{s2} f_y \left[(\phi^+ + \phi^-) l_p h_s - \left(3 + \frac{A_{s2}}{A_{s1}} \right) l_p \varepsilon_y \right] = 2R_B A_{s2} f_y \left[(\theta^+ + \theta^-) h_s - \left(3 + \frac{A_{s2}}{A_{s1}} \right) l_p \varepsilon_y \right]$$



Pinching due to shear deformation
 → Reduction in hysteretic energy dissipation



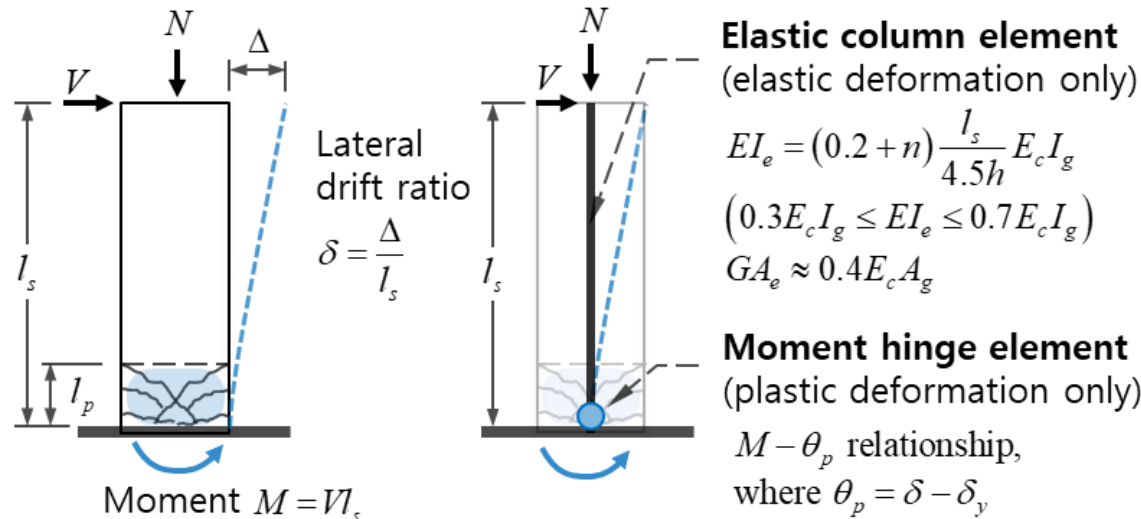
Longitudinal elongation can cause shear deformation. As the shear span becomes longer, the contribution of the shear deformation to the total deformation decreases.

Plastic Hinge

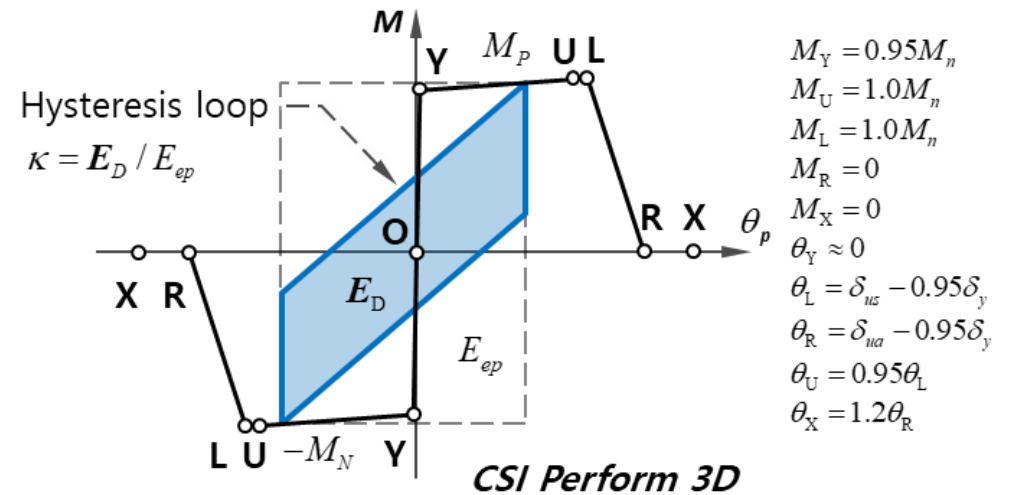
Model : Cyclic Curve for Time History Analysis

- Modeling of cyclic curve

- In the case of column



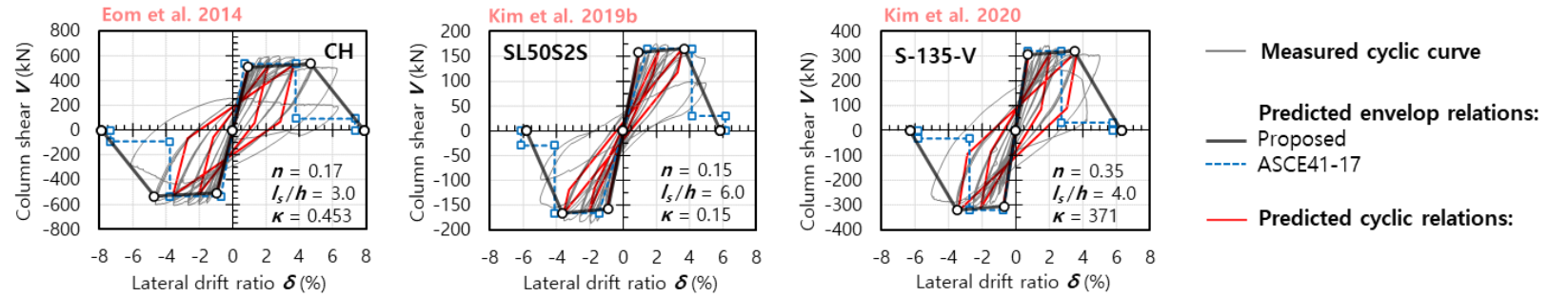
(a) Modeling components



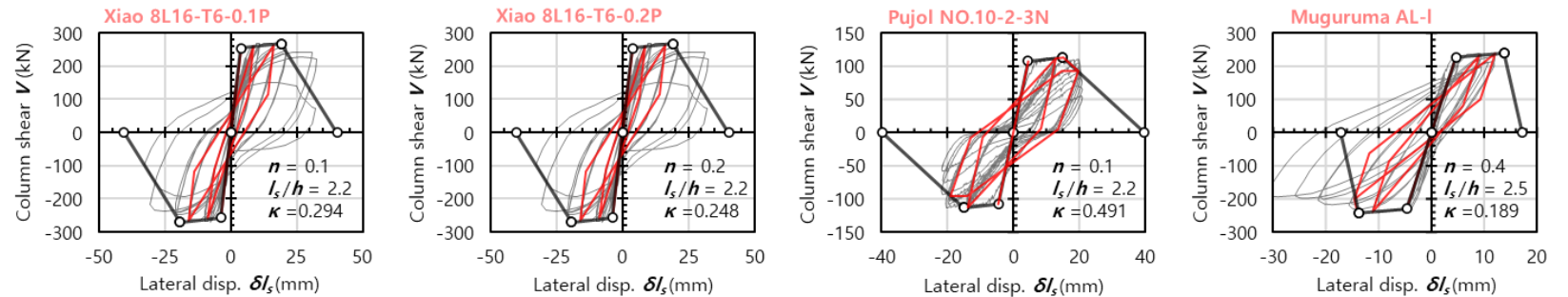
(b) Hysteresis model for moment hinge element

Plastic Hinge Model : Cyclic Curve for Time History Analysis

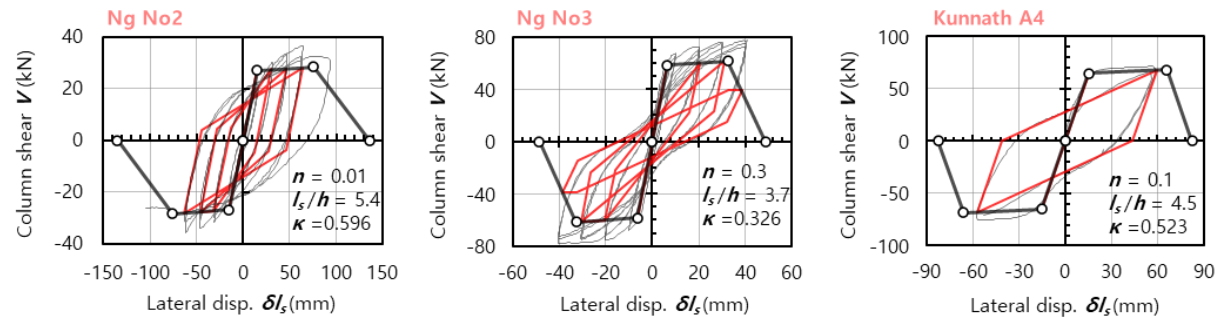
- Verification
- For columns



(a) Rectangular columns ($l_s/h \geq 3.0$ and $\lambda_s = 1.0$)



(b) Rectangular columns ($l_s/h < 3.0$ and $\lambda_s < 1.0$)



(c) Circular columns ($l_s/h \geq 3.0$ and $\lambda_s = 1.0$)

Verification of Structural Performance

D_u = member plastic rotation

D_{ne} = allowable plastic rotation

F_{ns} = gravity load effect

F_s = seismic load effect

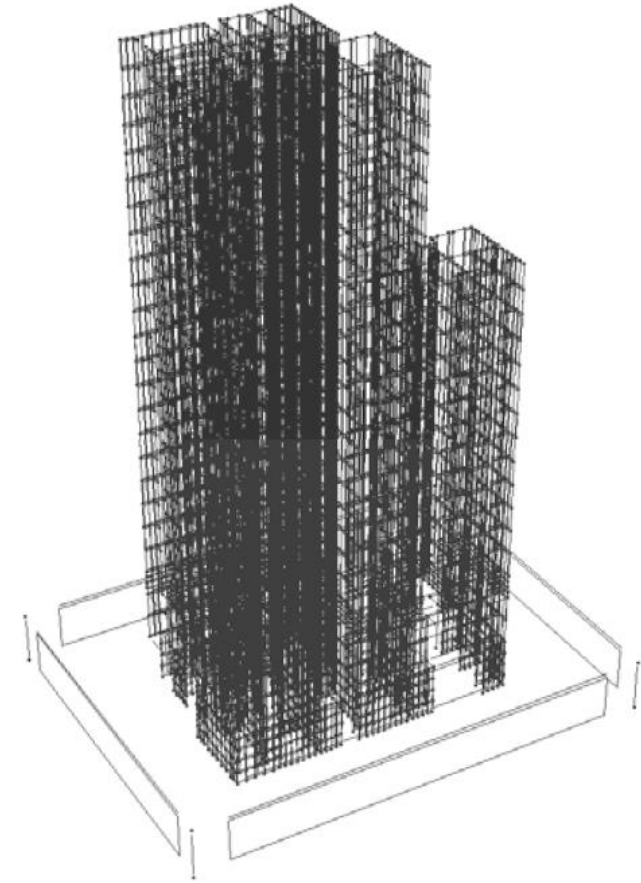
ϕF_n = design strength

- Evaluation criteria

| criteria | | Life safety | Collapse prevention |
|---------------------|---|-------------------|---|
| Overall structure | Story drift ratio | 1.5% | 2.0% |
| Fiber model | Compression strain of concrete | - | 0.2% |
| | Tensile strain of rebars | - | 4.0% |
| | Shear (Force-controlled) | - | $F_{ns} + 1.2 (F_s - F_{ns}) \leq \phi F_n$ |
| Plastic hinge model | Plastic rotation (Deformation-controlled) | $D_u \leq D_{ne}$ | $D_u \leq D_{ne}$ |
| | Shear (Force-controlled) | - | $F_{ns} + 1.2 (F_s - F_{ns}) \leq \phi F_n$ |

Application Example

- Summary of structure
- 24 story above ground, 1 story in basement
- Apartment building
- Seismic importance class 1 (KDS 41)
- Bearing wall structure (wall –slab structure)
- Transfer slab in 3rd story (moment frame below and bearing wall system above)
- Pile foundation
- Software for basic elastic design : Midas
- Software for nonlinear analysis : Perform 3D

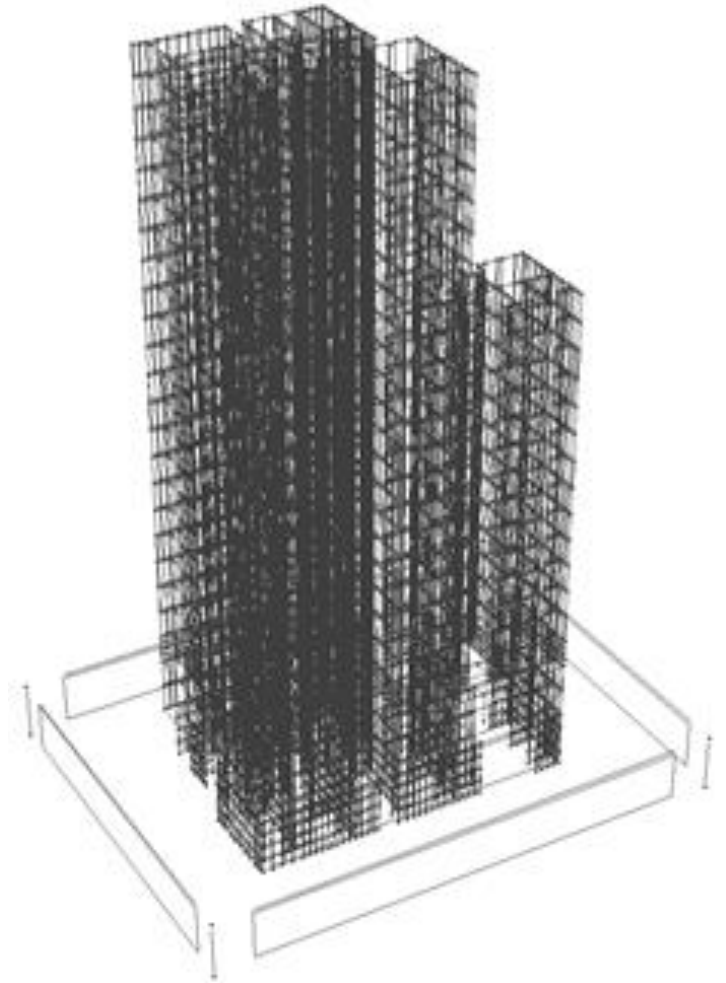
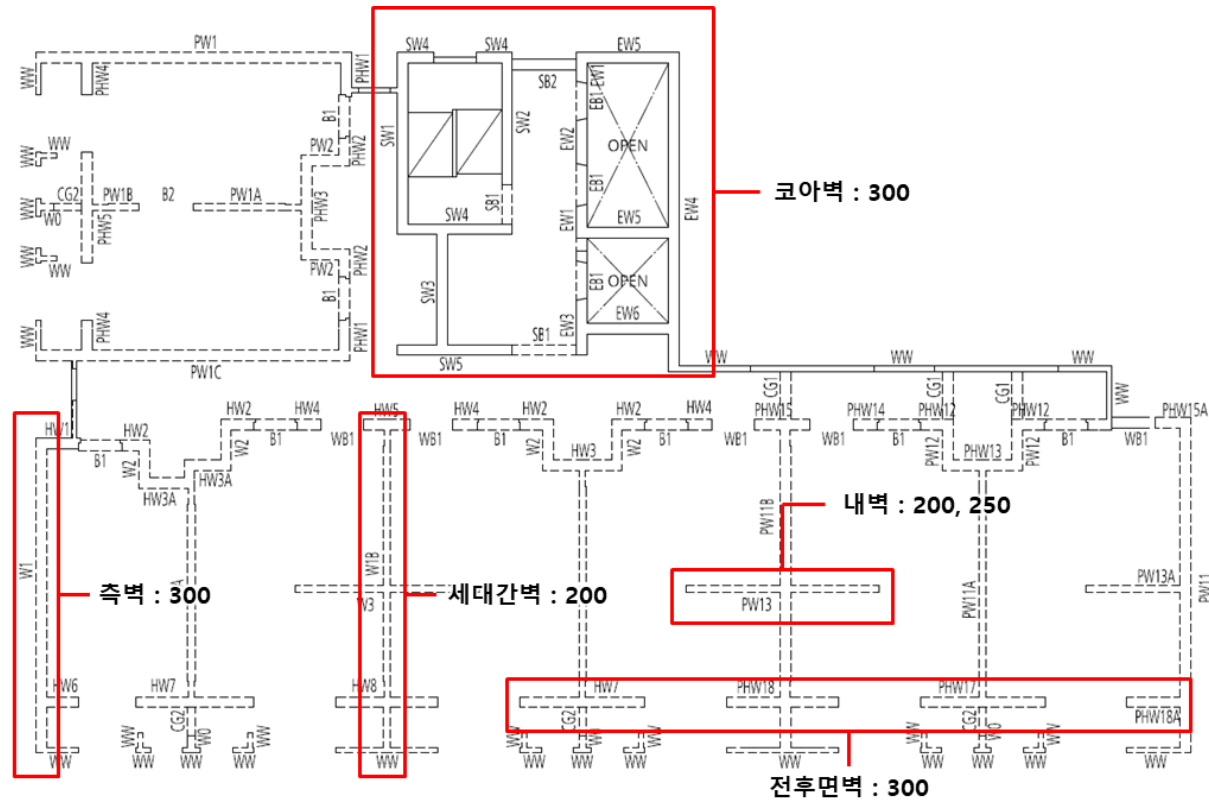


Application Example

- Procedure
 - Basic design : KDS 41 (building structural design code)
 - Nonlinear analysis modeling : Guidelines for PBSD
 - Selection of ground motions
 - Nonlinear time history analysis
 - Performance evaluation / interim report
 - Peer review
 - Final report
- Target performance
 - » Collapse prevention limit state : 2400 year return period EQ (0.22 g) - mandatory
 - » Life safety : 1400 year return period EQ (0.17g)

Application Example

- Structural plan



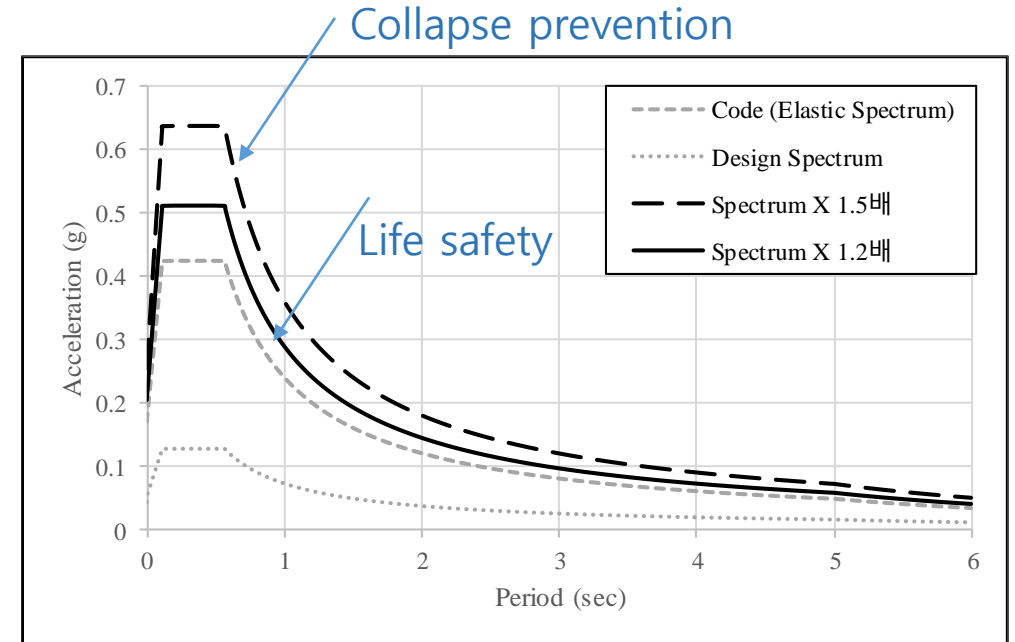
Application Example

- Materials

- Concrete strength : 24 – 27 MPa
- Steel reinforcement : 500 Mpa D10 and D13
600 Mpa D16 and greater

- Design information

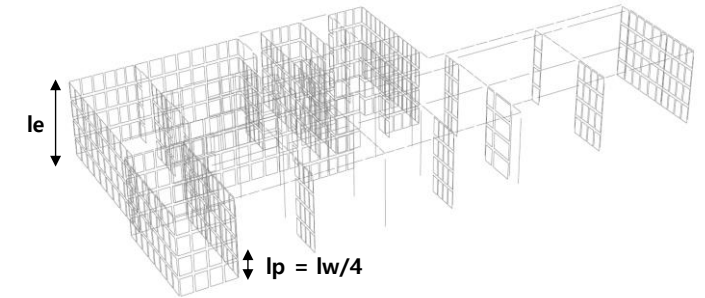
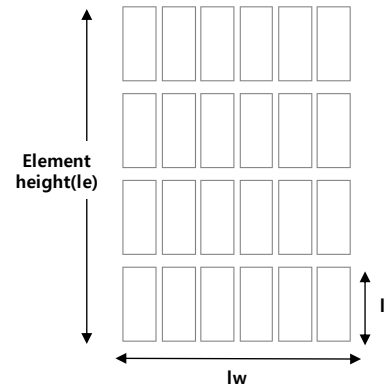
- » Regional factor = 0.176 g
- » Importance factor = 1.2
- » Site class = S4
- » Response modification factor = 4 (bearing wall structure)
- » Dynamic period = 2.0 sec (X direction), 1.64 sec (Y direction)



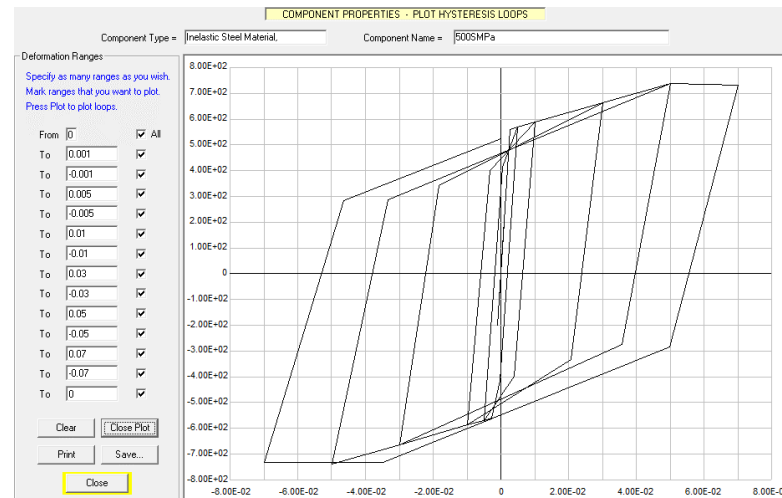
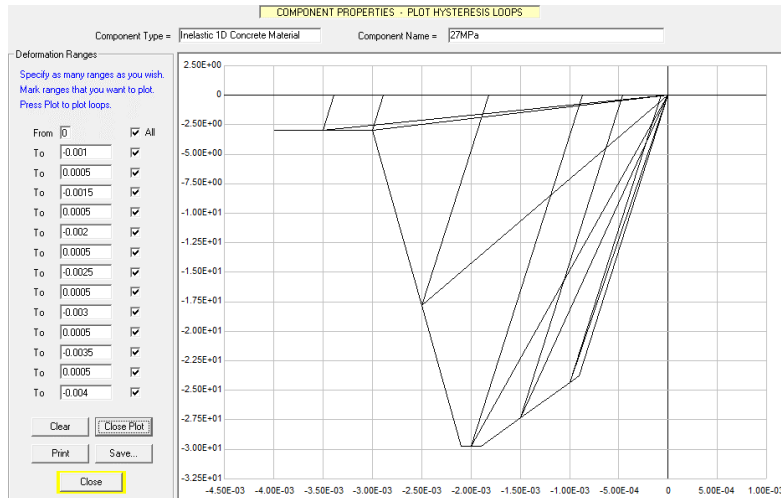
Application Example

- Fiber models for walls

- » Multiple fiber model layers for plastic hinge zone(1st story)
- » Single fiber model layer for other stories
- » Concrete strength : 27 MPa



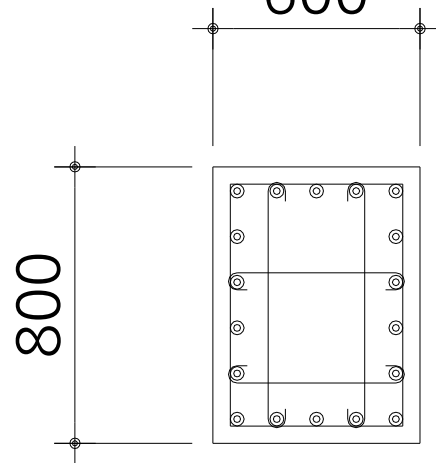
- » Steel reinforcement : 500 Mpa



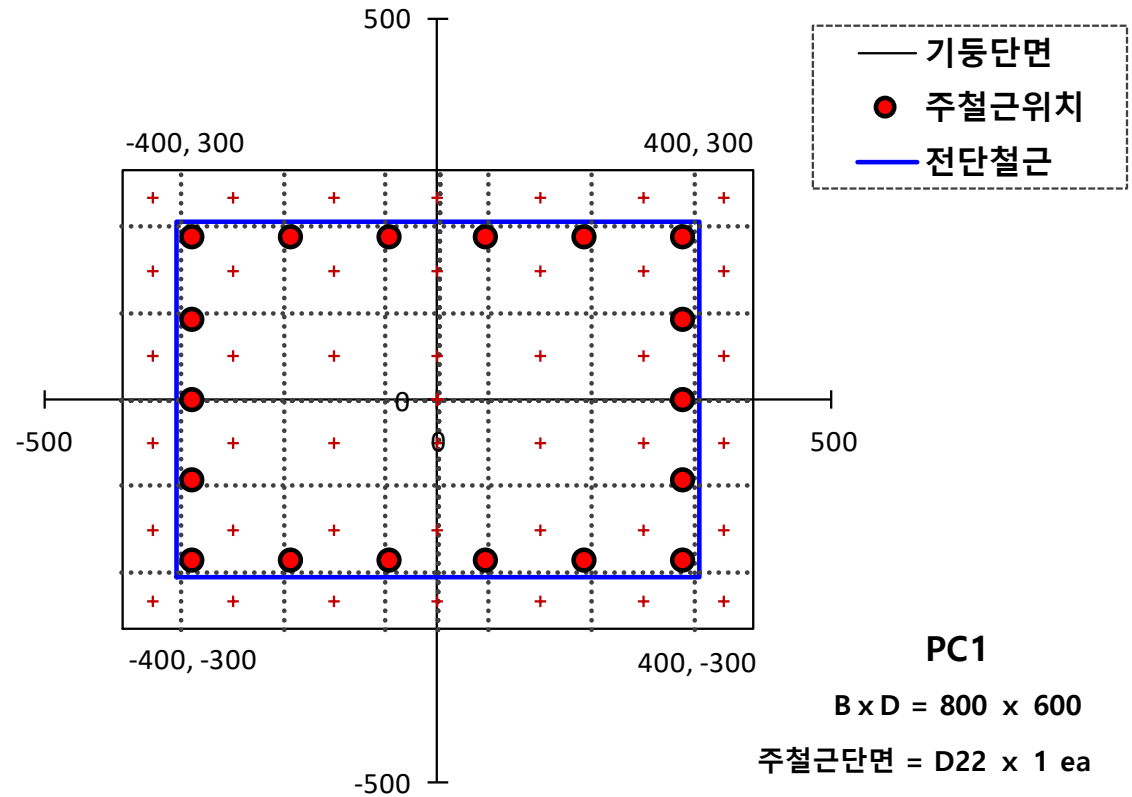
Application Example

- Fiber model for columns

- Fiber model



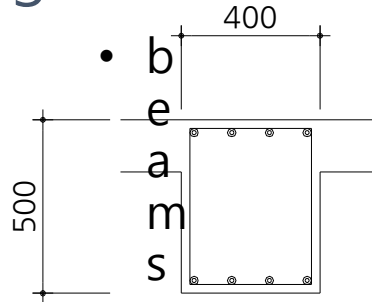
18-D22
D13@150



Application Example

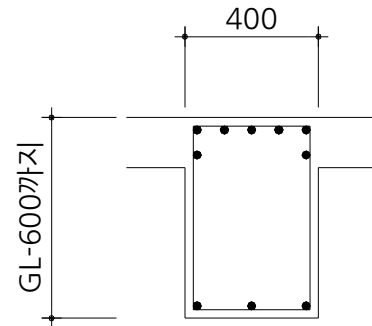
- Plastic hinge models

symmetric section

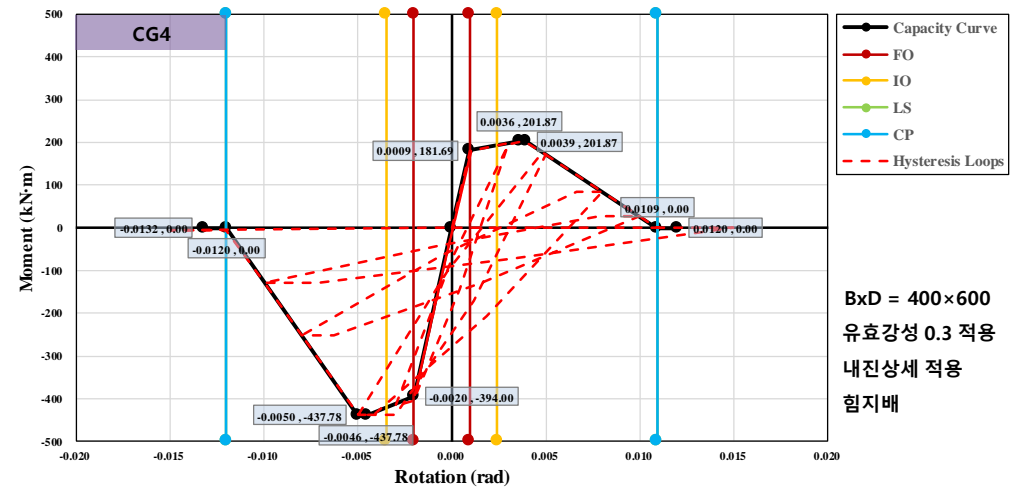
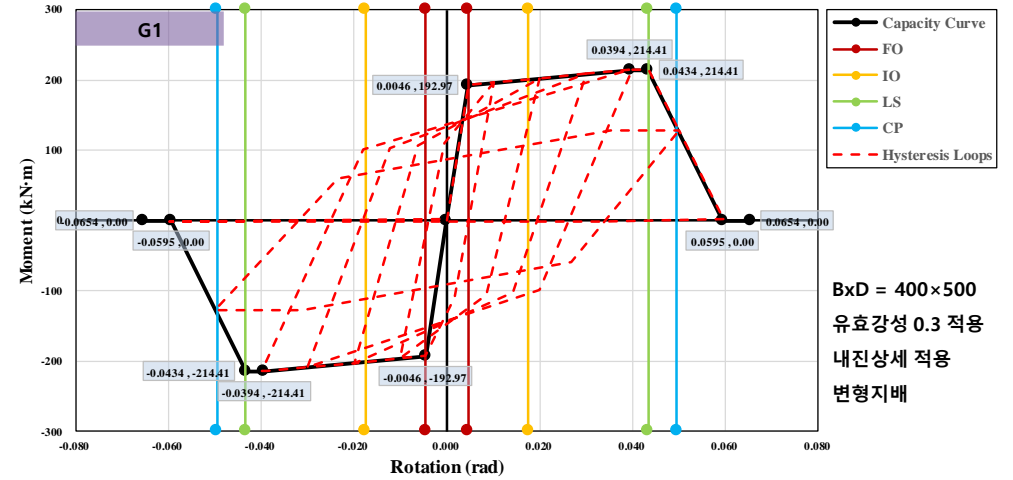


4-D16
4-D16
2-D10@100

asymmetric section



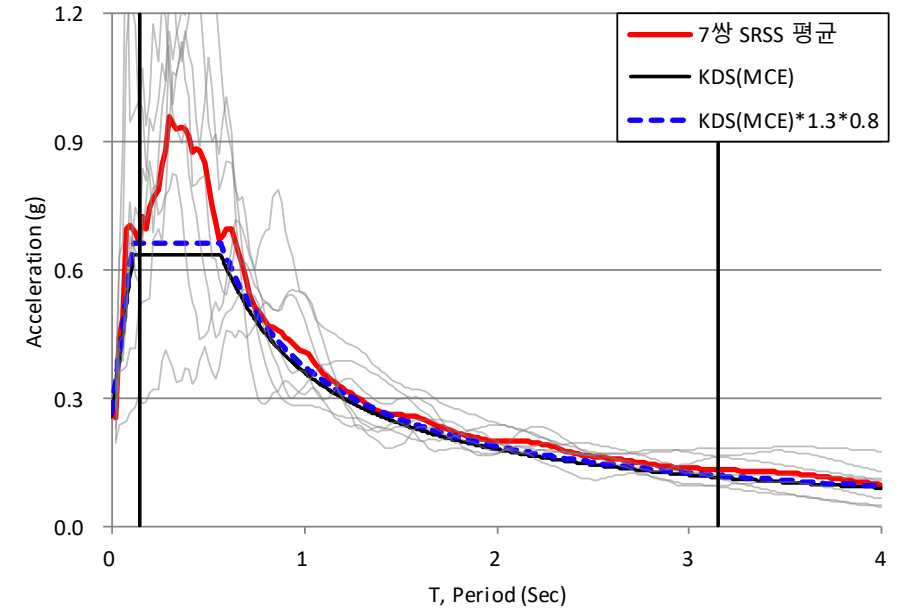
7-D16
3-D16
2-D10@100



Application Example

- Ground motions

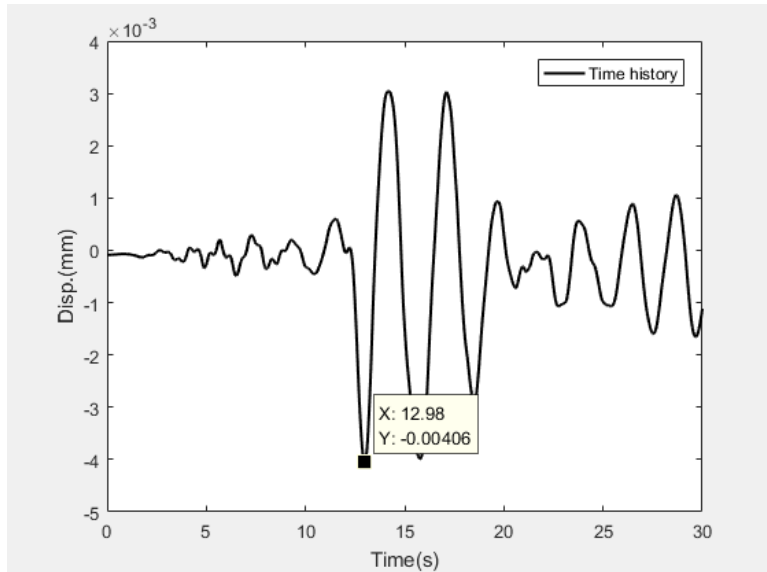
| Number | Name | Year | Station | Peak Acc. | | Total Time/ Interval |
|--------|----------------|------|---------|-----------|----------|-------------------------|
| | | | | X-Dir. | Y-Dir. | |
| 1 | Chi-Chi-06 | 1999 | HWA003 | 0.189 g | -0.136 g | 30 sec/ 0.02 sec |
| 2 | Tottori | 2000 | OKYH07 | -0.295 g | -0.393 g | 40 sec/ 0.02 sec |
| 3 | Campano Lucano | 1980 | Auletta | 0.299 g | 0.284 g | 60 sec/ 0.02 sec |
| 4 | IWATE | 2008 | IWT010 | 0.215 g | -0.223 g | 30 sec/ 0.02 sec |
| 5 | Landers | 1992 | Lucerne | -0.338 g | 0.367 g | 30 sec/ 0.02 sec |
| 6 | Chi-Chi | 1999 | TAP046 | -0.308 g | 0.184 g | 50 sec/ 0.02 sec |
| 7 | Chi-Chi | 1999 | CHY102 | -0.227 g | 0.247 g | 70 sec/ 0.02 sec |



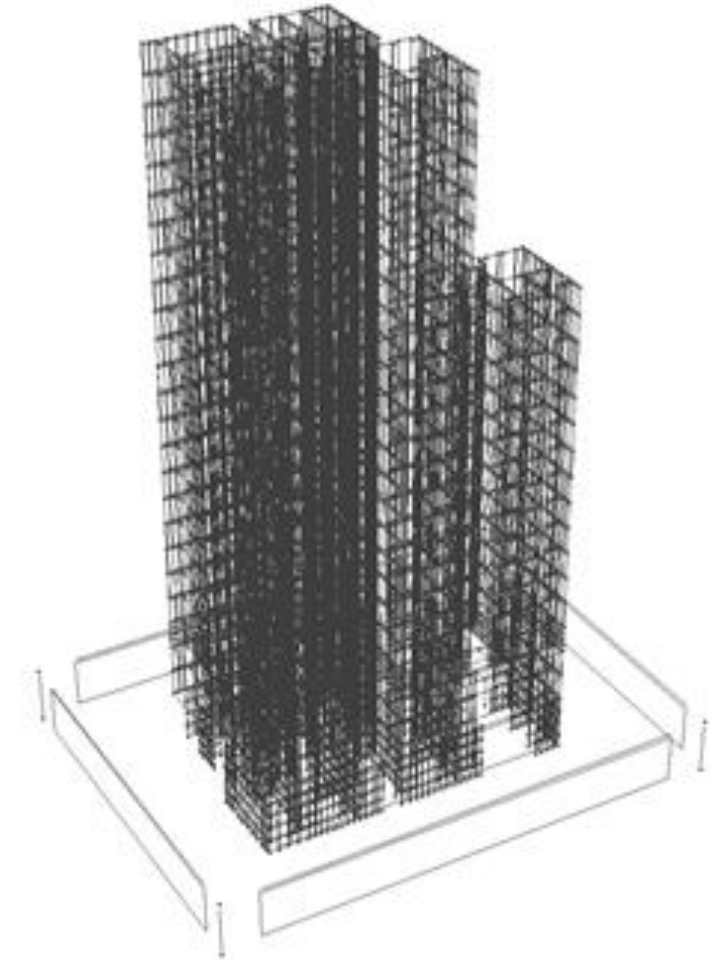
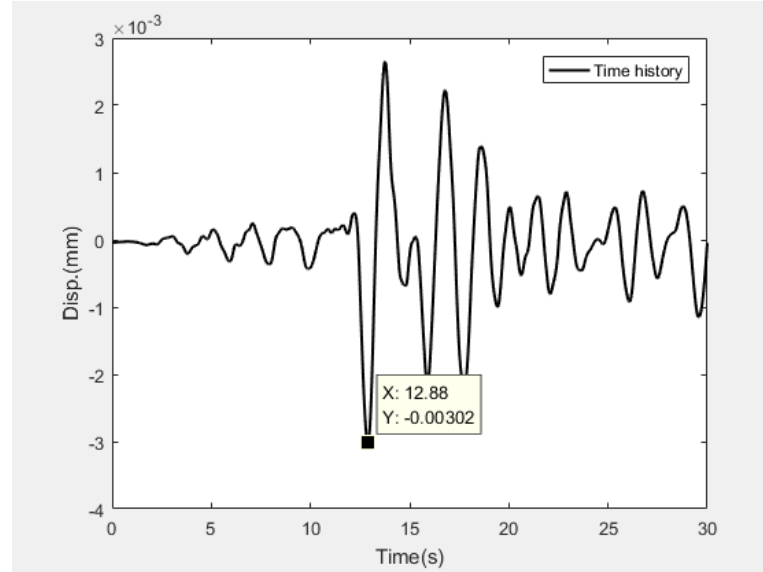
Application Example

- Time history displacements at top floor mass center

» EQ 1 – x direction



» EQ 1 – y direction



Application Example

- Evaluation criteria

D_u = member plastic rotation

D_{ne} = allowable plastic rotation

F_{ns} = gravity load effect

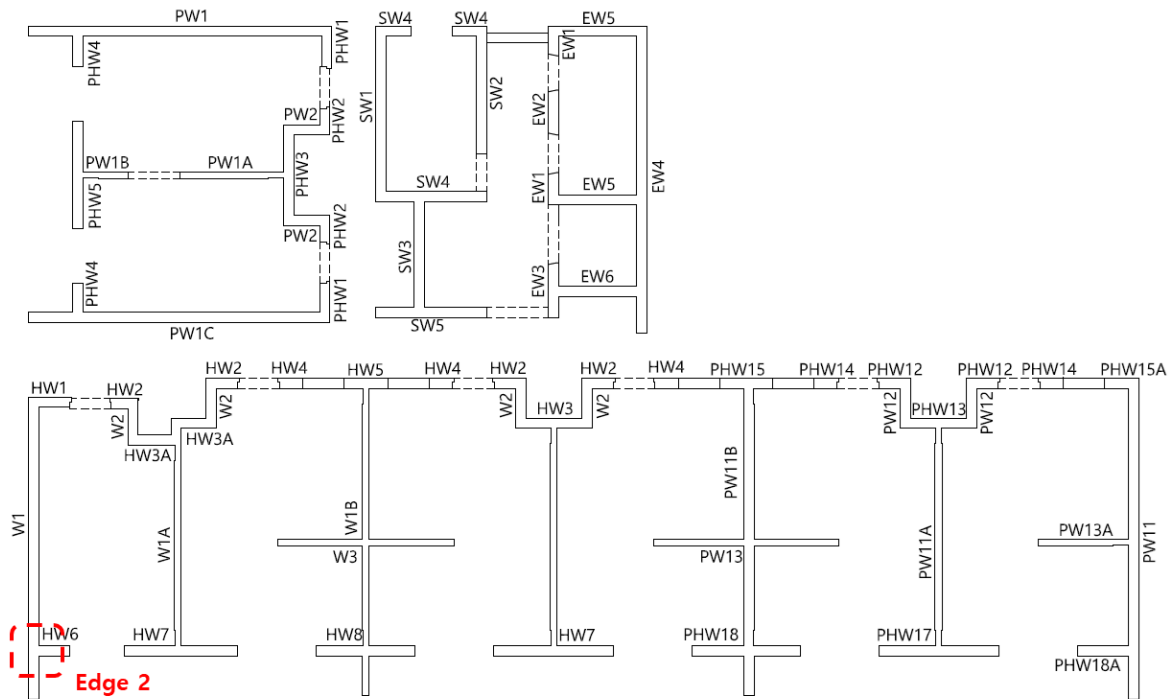
F_s = seismic load effect

ϕF_n = design strength

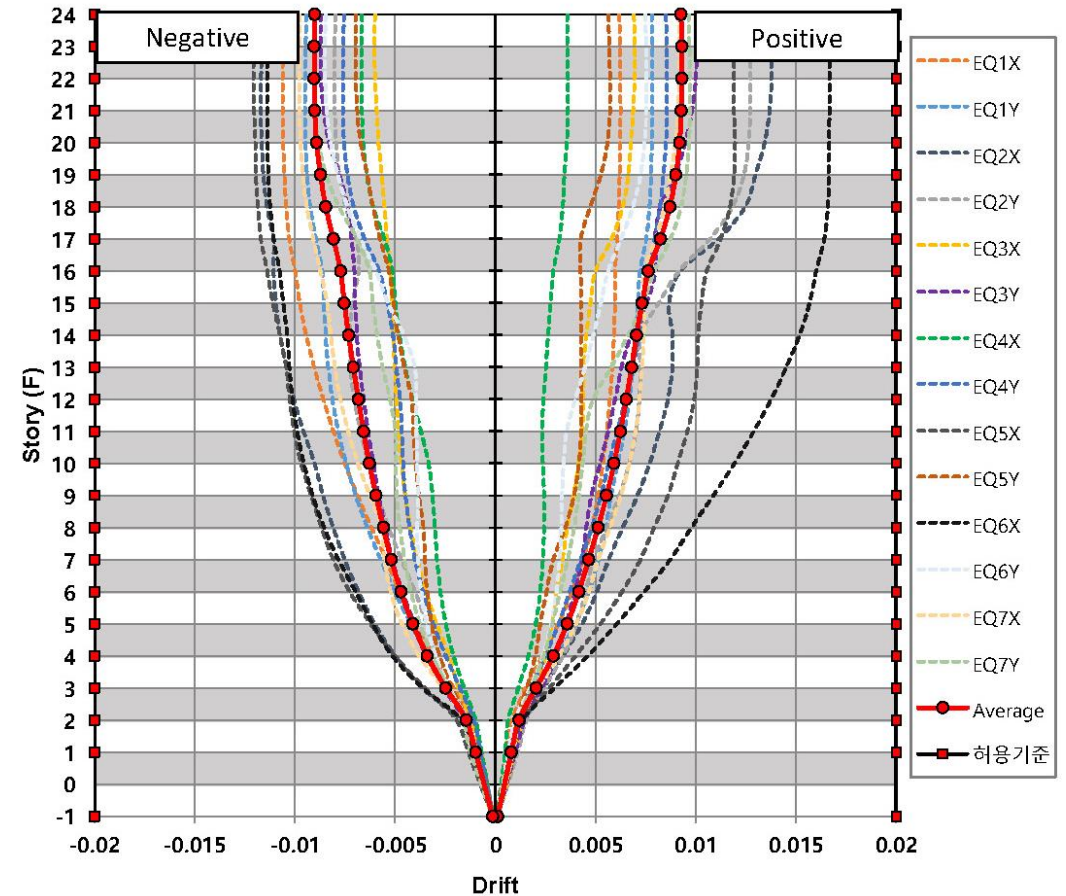
| criteria | | Life safety | Collapse prevention |
|---------------------|---|-------------------|---|
| Overall structure | Story drift ratio | 1.5% | 2.0% |
| Fiber model | Compression strain of concrete | - | 0.2% |
| | Tensile strain of rebars | - | 4.0% |
| | Shear (Force-controlled) | - | $F_{ns} + 1.2 (F_s - F_{ns}) \leq \phi F_n$ |
| Plastic hinge model | Plastic rotation (Deformation-controlled) | $D_u \leq D_{ne}$ | $D_u \leq D_{ne}$ |
| | Shear (Force-controlled) | - | $F_{ns} + 1.2 (F_s - F_{ns}) \leq \phi F_n$ |

Application Example

- Story drift ratio

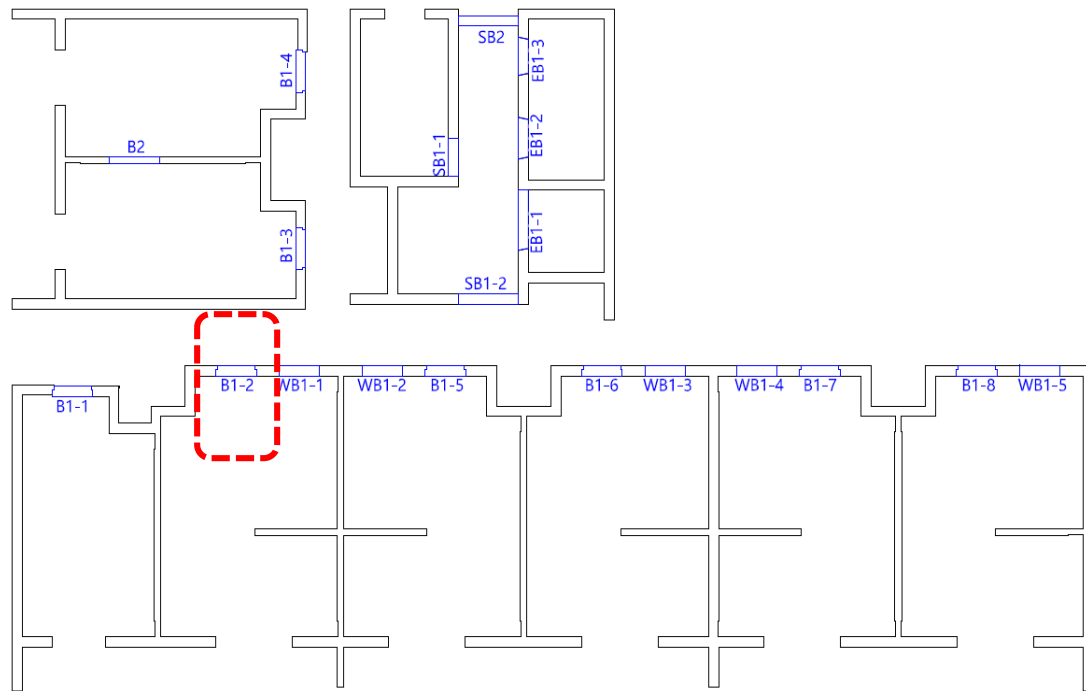


X-Dir. EDGE 2 Drift

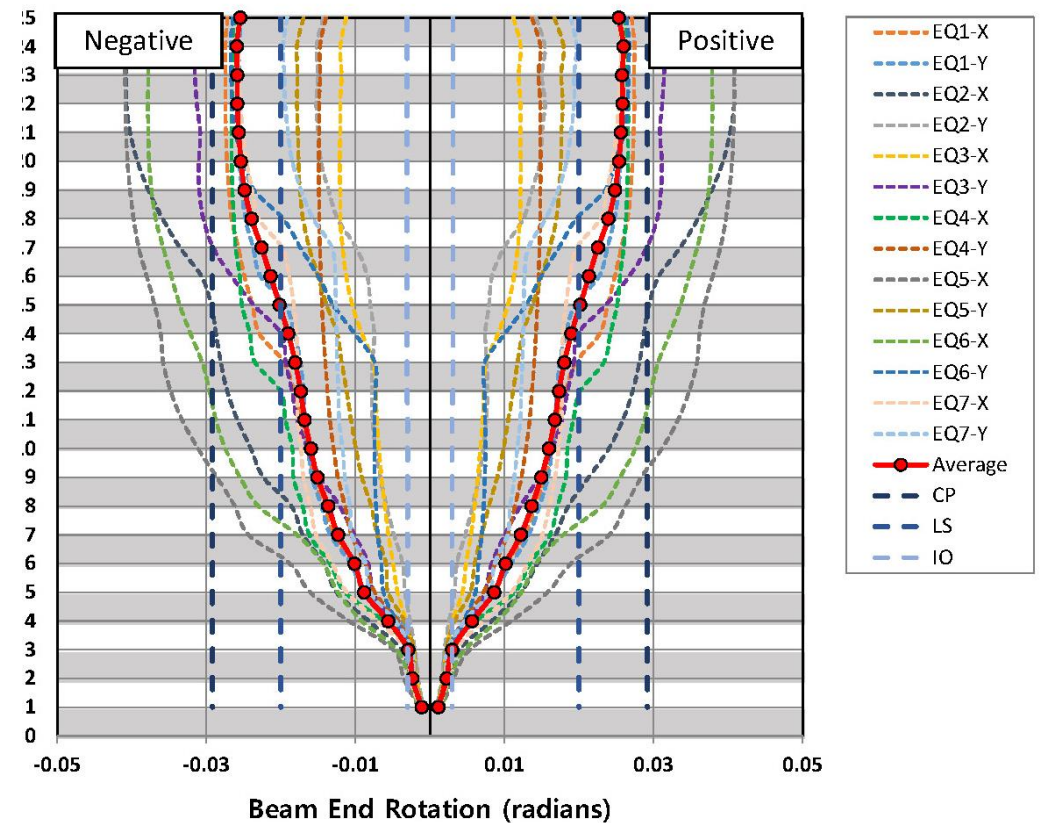


Application Example

- Plastic rotation of beam (Deformation-controlled behavior)



B1-2 End Rotation

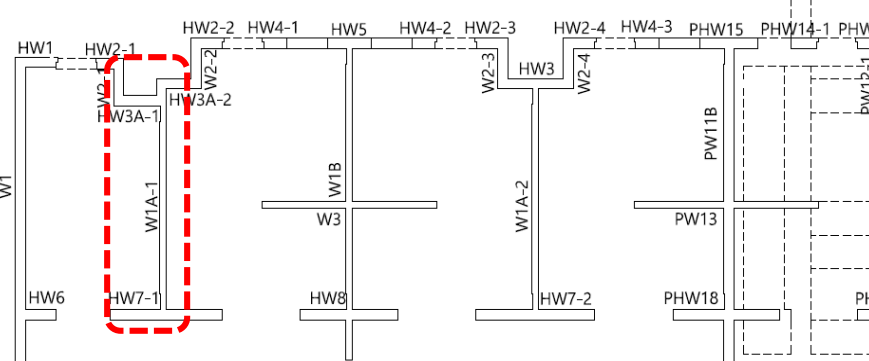
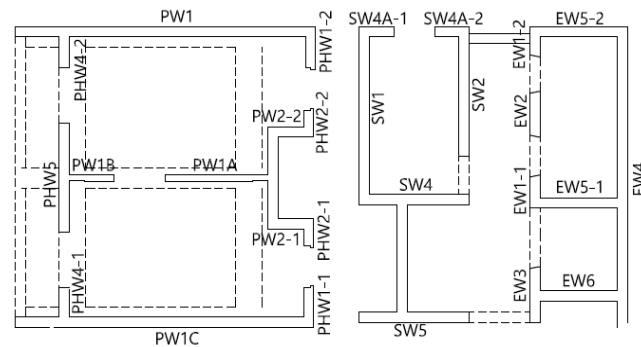


Application Example

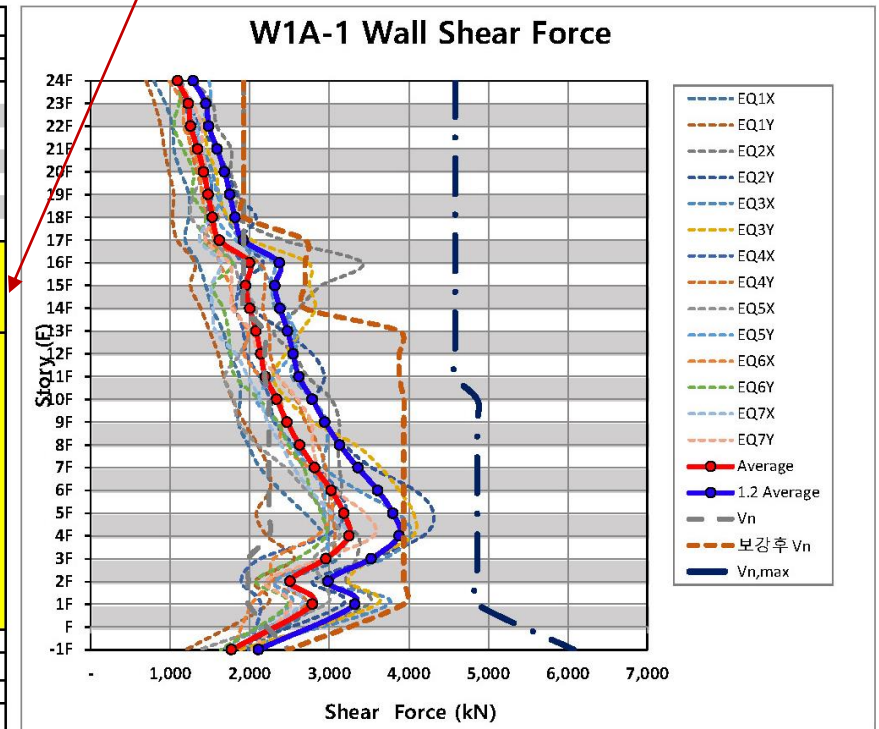
- Shear of Walls (Force-controlled behavior)
- Walls are vulnerable to shear due to dynamic amplification after flexural yielding

$$F_{ns} + 1.2 (F_s - F_{ns}) \leq \phi F_n$$

Increase of shear reinforcement



| Story | Height | W1A-1 | | | | | | | | |
|-------|--------|-----------------------|-----------------|-----|----------------|-------------------|-----|-----------------|----------------|---------|
| | | Elastic Design Result | | | | After reinforcing | | | | |
| | | Length | f _{ck} | thk | f _y | Hor. | thk | f _{ck} | f _y | Hor. |
| 24F | 64.4 | 6690 | 24 | 200 | 500 | D10@440 | 200 | 24 | 500 | D10@440 |
| 23F | 61.6 | | | | | | | | | |
| 22F | 58.8 | | | | | | | | | |
| 21F | 56 | | | | | | | | | |
| 20F | 53.2 | | | | | | | | | |
| 19F | 50.4 | | | | | | | | | |
| 18F | 47.6 | | | | | | | | | |
| 17F | 44.8 | | | | | | 500 | | | D10@250 |
| 16F | 42 | | | | | | | | | D10@150 |
| 15F | 39.2 | | | | | | | | | |
| 14F | 36.4 | | | | | | | | | |
| 13F | 33.6 | | | 200 | 500 | D10@350 | 200 | 500 | | D10@150 |
| 12F | 30.8 | | | | | | | | | |
| 11F | 28 | | | | | | | | | |
| 10F | 25.2 | | | 27 | | | | | 27 | |
| 9F | 22.4 | | | | | | | | | |
| 8F | 19.6 | | | | | | | | | |
| 7F | 16.8 | | | | | | | | | |
| 6F | 14 | | | | | | | | | |
| 5F | 11.2 | | | | | | | | | |
| 4F | 8.4 | | | | | | | | | |
| 3F | 5.6 | | | | 500 | D10@440 | | | | |
| 2F | 2.8 | | | | | | | | | |
| 1F | 0 | | | | | | | | | |
| -1F | -5.8 | | | 250 | 500 | D10@350 | 250 | 500 | | D10@350 |
| Story | Height | Length | f _{ck} | thk | f _y | Hor. | thk | f _{ck} | f _y | Hor. |
| | | Elastic Design Result | | | | After reinforcing | | | | |
| W1A-1 | | | | | | | | | | |



Application Example

- Summary of Evaluation
- Most of the basic design is OK except for walls.
- Strengthening is required for shear of walls
- Boundary confinement is required for B/E of walls

| Evaluation item | | criteria | results |
|------------------------|--------------------|-------------------|--------------------------------|
| Story drift ratio | LS | Less than 1.5% | OK |
| | CP | Less than 2.0% | OK |
| beam | shear | | F-controlled |
| | Plastic rotation | LS | Allowable limit |
| | | CP | Allowable limit |
| columns | shear | | F-controlled |
| | Plastic rotation | | Allowable limit |
| Transfer story members | beams | shear | F-controlled |
| | | flexural strength | F-controlled |
| | columns | shear | F-controlled |
| | | P-M strength | F-controlled |
| B-C joints | Inelastic rotation | LS | Allowable limit |
| | | CP | Allowable limit |
| walls | shear | | F-controlled |
| | Vertical strain | LS | - |
| | | CP | compression 0.2%, tension 4.0% |
| | Inelastic rotation | LS | Allowable limit |
| CP | | Allowable limit | |

Conclusion

- RC members fail due to concrete (not due to steel)
- Shear strength of concrete is not constant but a function of deformation
- Deformation-controlled shear strength model

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